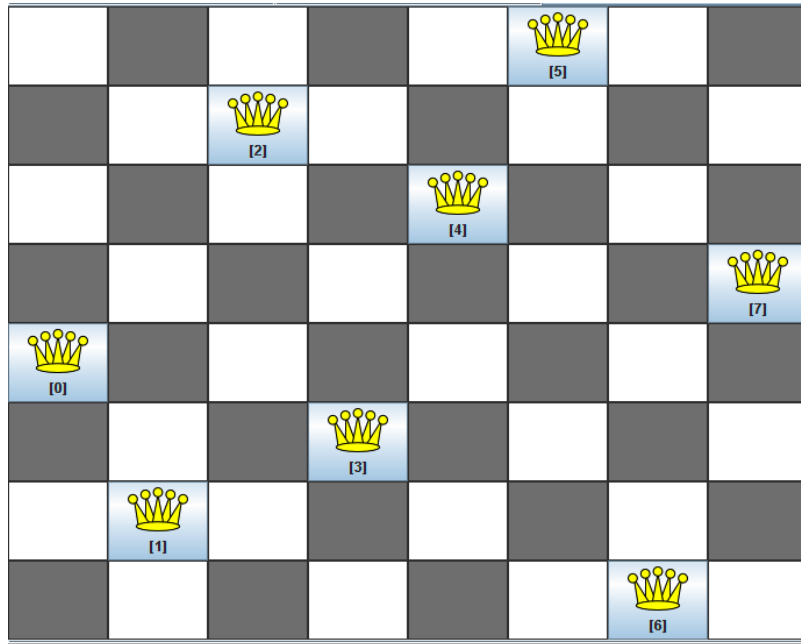


Model Evolution with Equality Modulo Built-In Theories

Peter Baumgartner
NICTA and ANU

Cesare Tinelli
The University of Iowa

Motivating Example: Analysing N-Queens



Task

Prove that

for **all** board sizes n :

if s is a solution, so is $\text{mirrored}(s)$

(PUZ133+2.p, PUZ133=2.p)

- Not a constraint solving task ("for **all** board sizes n ...")
- Contains quantifiers: $\forall \phi \models_{\mathbb{Z}} \forall \psi$
- Difficult for SMT-solvers (because of quantifiers)
- Difficult for first-order provers (because of Integers)
- **Needed**: a theorem prover with built-in integer arithmetic

Approaches

Approaches

- **Theorem proving**

- Theory Resolution/CM/Model Elimination, ...
Hierarchical Superposition [BGW 94], SPASS(LA) [AKW 2009], R+LIA [Korovin&Voronkov 07], Seq+QE [Rümmer 2008], Theory Instantiation [GK 2006], ME(LIA) [BT 2008]

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- **SMT solvers**

- Very successful for the quantifier free case, i.e. $\models_T \forall \Phi$
- Instantiation heuristics for general case, $\forall \Psi \models_T \forall \Phi$

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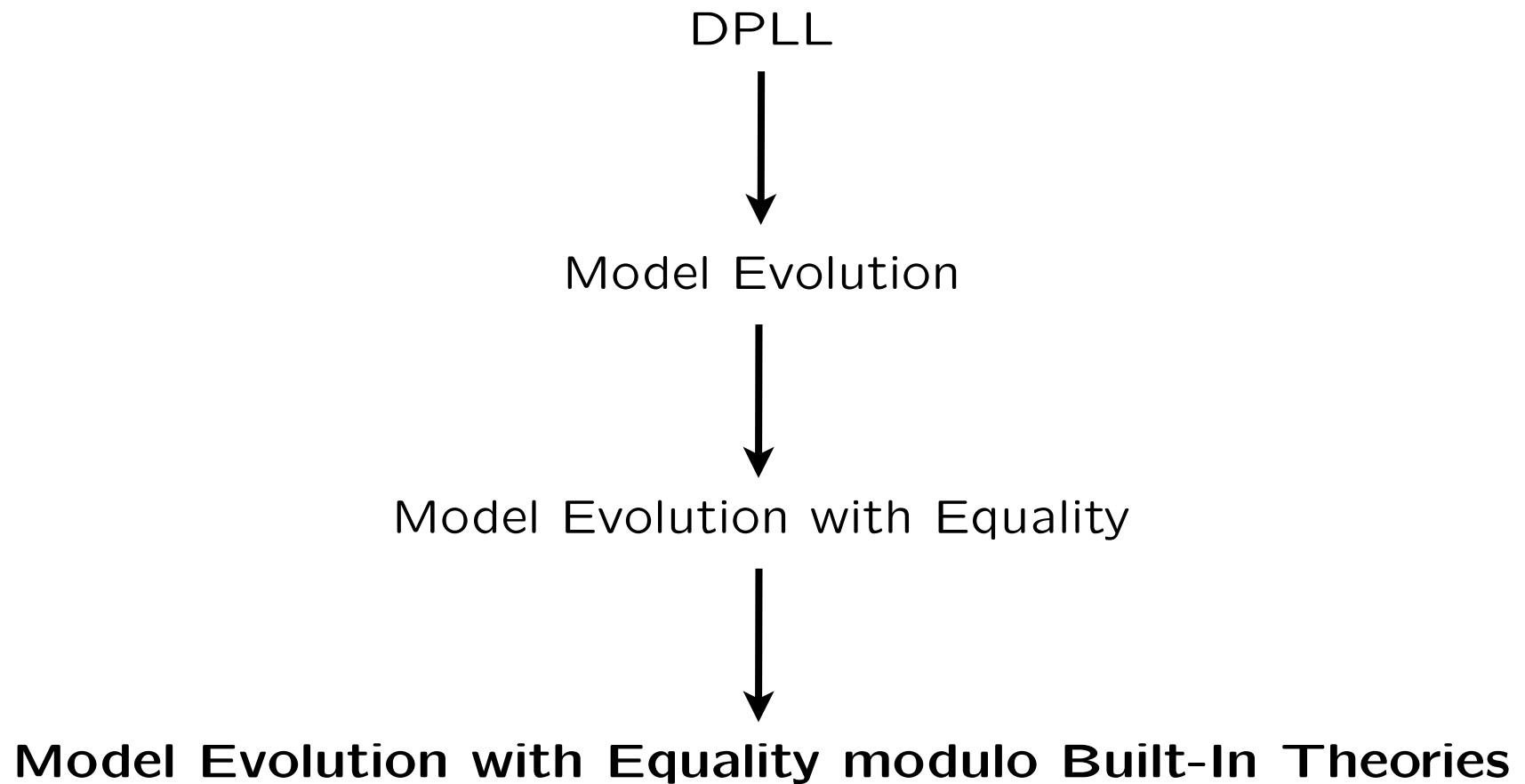
- **Our research plan**

- Efficient theorem prover for FOL modulo theories
- Complete (when achievable)
- Useful for countermodel computation
- Build on attractive properties of instance based methods (ME, InstGen, ...)

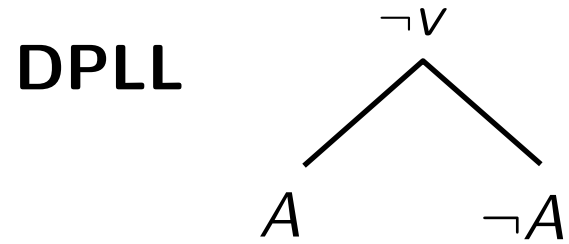
ME - Achievements so far

- **FDPLL**: basic ideas, predecessor of ME
- **ME**
 - Universal variables, unit propagation, redundancy criteria
- **ME+Lemmas**
- **Finite model computation**
- **MEE = ME+Equality**
 - Superposition rule, ordering refinements, redundancy criteria
- **MEE+Superposition**
 - Both calculi properly generalized
- **ME+LIA**
- Implementations: **Darwin** [JAIT 2006], E-Darwin, MELIA (new!)
- **This work**: $MEE(T) = MEE+Theories$ (in particular LIA)

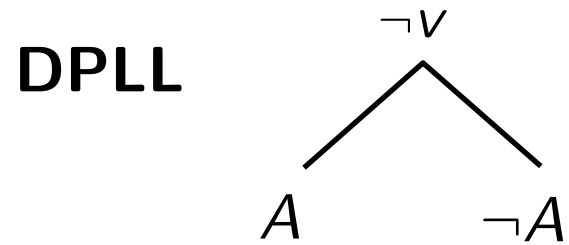
Further Plan of This Talk



DPLL \rightarrow Model Evolution (ME)

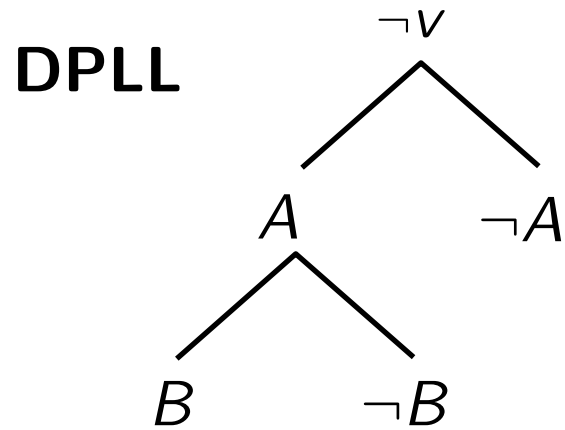


DPLL \rightarrow Model Evolution (ME)



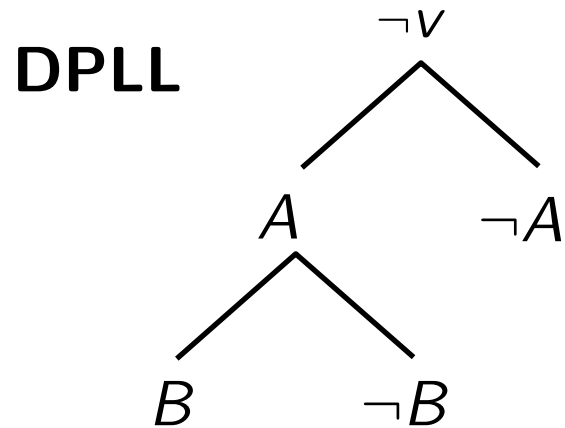
$$\{A\} \stackrel{?}{\models} \neg A \vee B \quad \text{Split}$$

DPLL → Model Evolution (ME)



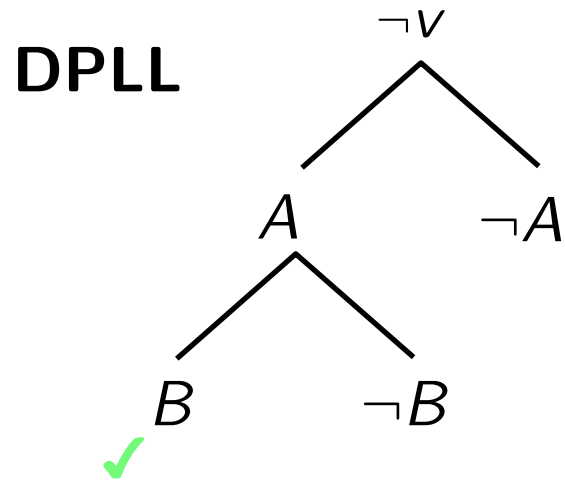
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$$\{A, B\} \stackrel{?}{\models} \neg A \vee B$$

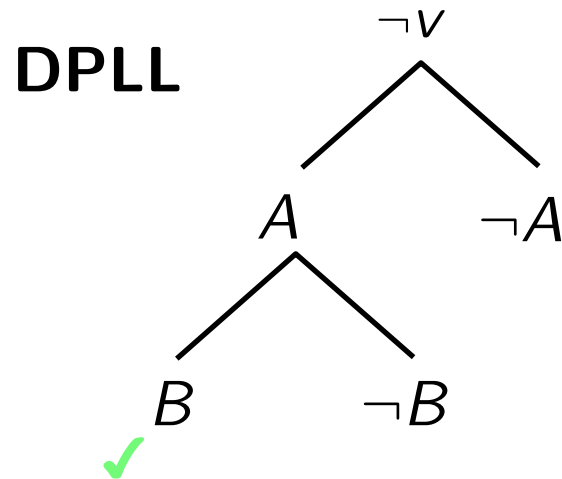
DPLL → Model Evolution (ME)



$$\{A\} \stackrel{?}{\models} \neg A \vee B \quad \text{Split}$$

$$\{A, B\} \stackrel{?}{\models} \neg A \vee B \quad \checkmark$$

DPLL → Model Evolution (ME)

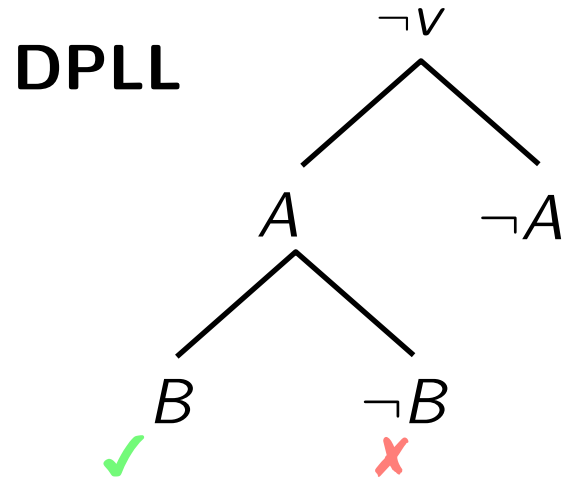


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$$\{A, \neg B\} \stackrel{?}{\models} \neg A \vee B$$

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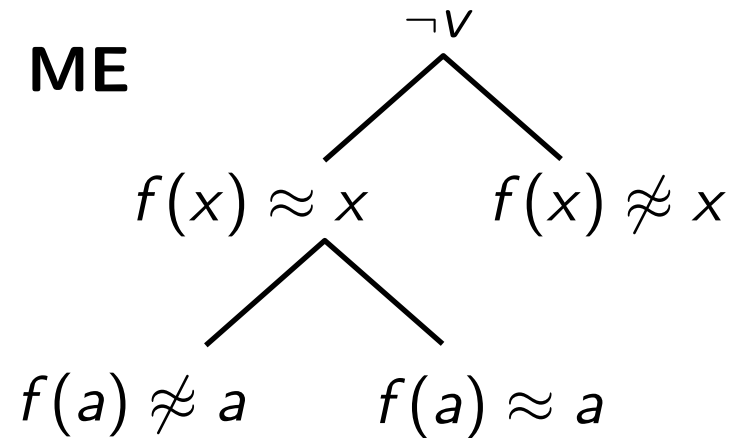
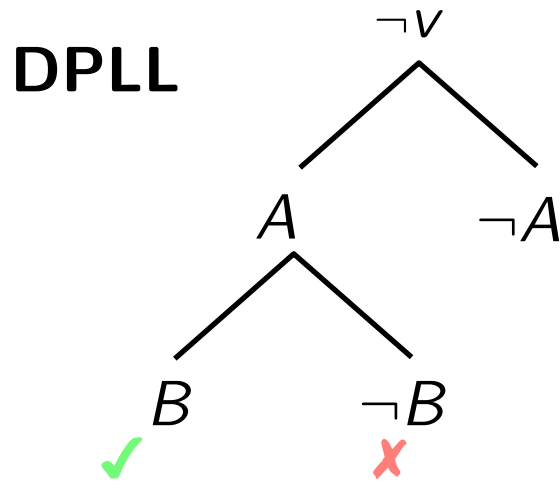


$$\{A\} \stackrel{?}{\models} \neg A \vee B \quad \text{Split}$$

$$\{A, B\} \stackrel{?}{\models} \neg A \vee B \quad \checkmark$$

$$\{A, \neg B\} \stackrel{?}{\models} \neg A \vee B \quad \text{✗ Close}$$

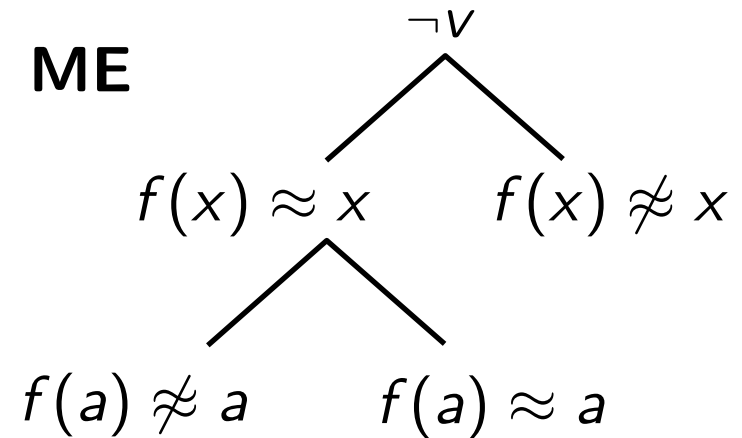
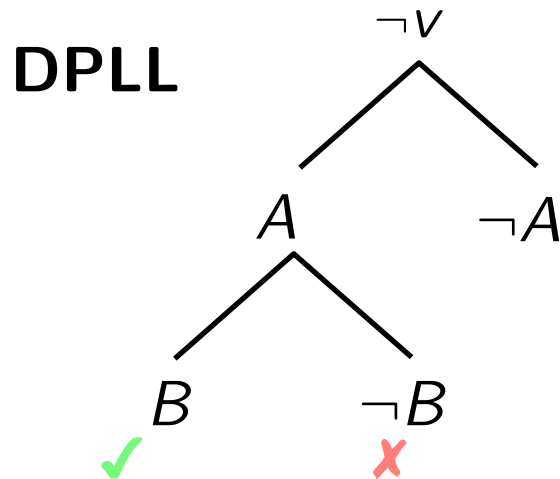
DPLL → Model Evolution (ME)



$\{A\} \stackrel{?}{\models} \neg A \vee B$	Split
$\{A, B\} \stackrel{?}{\models} \neg A \vee B$	✓
$\{A, \neg B\} \stackrel{?}{\models} \neg A \vee B$	✗ Close

- Branches are called "contexts"
- Context induces interpretation
- **Split** to repair interpretation
- **Close** to abandon interpretation
- **Superposition** for equality reasoning

DPLL → Model Evolution (ME)



$$\begin{array}{l} \{A\} \stackrel{?}{\models} \neg A \vee B \quad \text{Split} \\ \{A, B\} \stackrel{?}{\models} \neg A \vee B \quad \checkmark \\ \{A, \neg B\} \stackrel{?}{\models} \neg A \vee B \quad \times \text{ Close} \end{array}$$

- Branches are called "contexts"
- Context induces interpretation
- **Split** to repair interpretation
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- **Superposition** for equality reasoning

Next: key concept "productivity" to induce interpretation from context

Interpretation Induced by a Context

Context Λ

Interpretation I_Λ

$\Sigma = \{P/2, a/0, b/0\}$

$P(x, y)$



$P(a, a)$	$P(b, a)$
$P(a, b)$	$P(b, b)$

- A context literal specifies a truth value for all its ground instances, unless there is a more specific literal specifying the opposite truth value

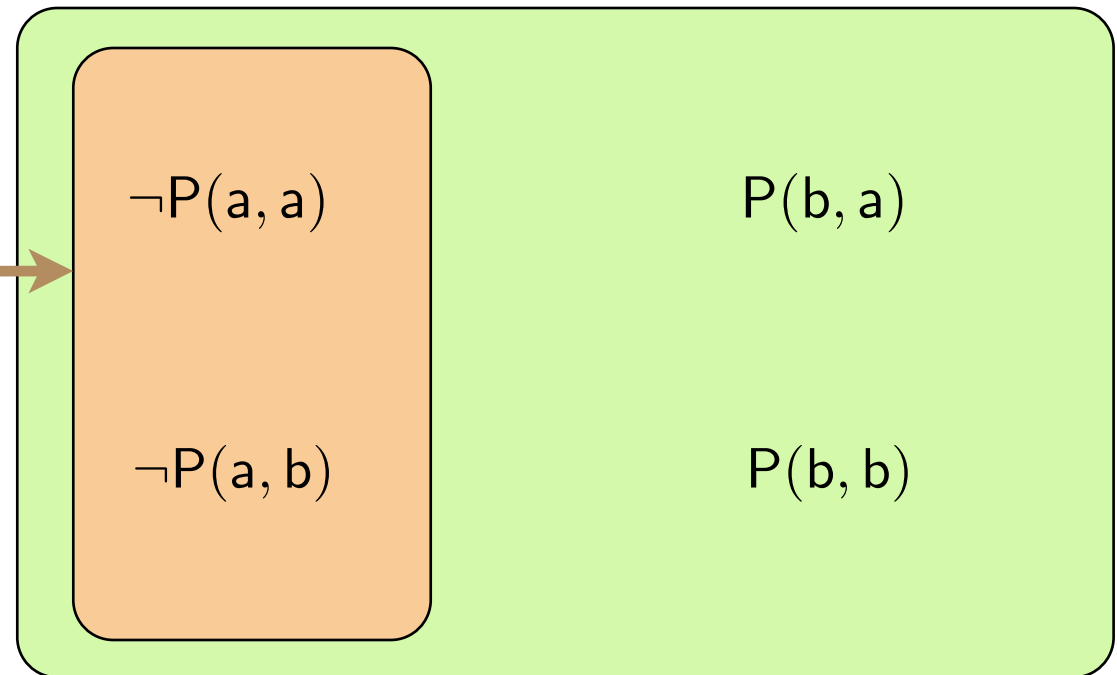
Interpretation Induced by a Context

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|
 $\neg P(a, y)$

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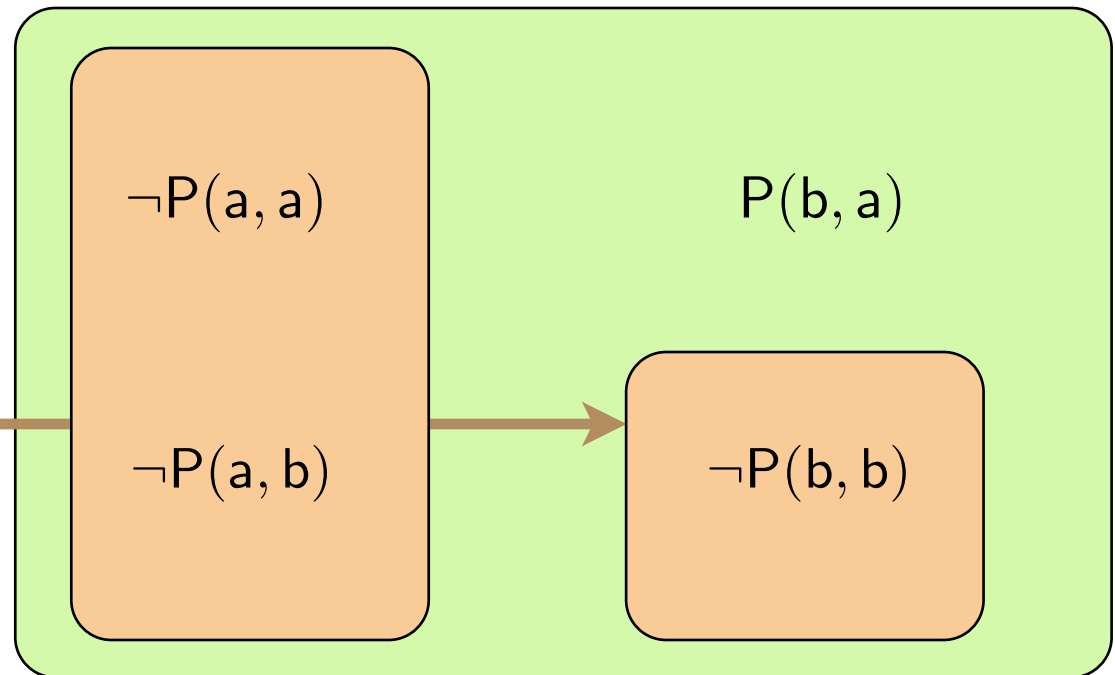
Interpretation Induced by a Branch

Context Λ

$P(x, y)$
 $\neg P(a, y)$
 $\neg P(b, b)$

Interpretation I_Λ

$\Sigma = \{P/2, a/0, b/0\}$



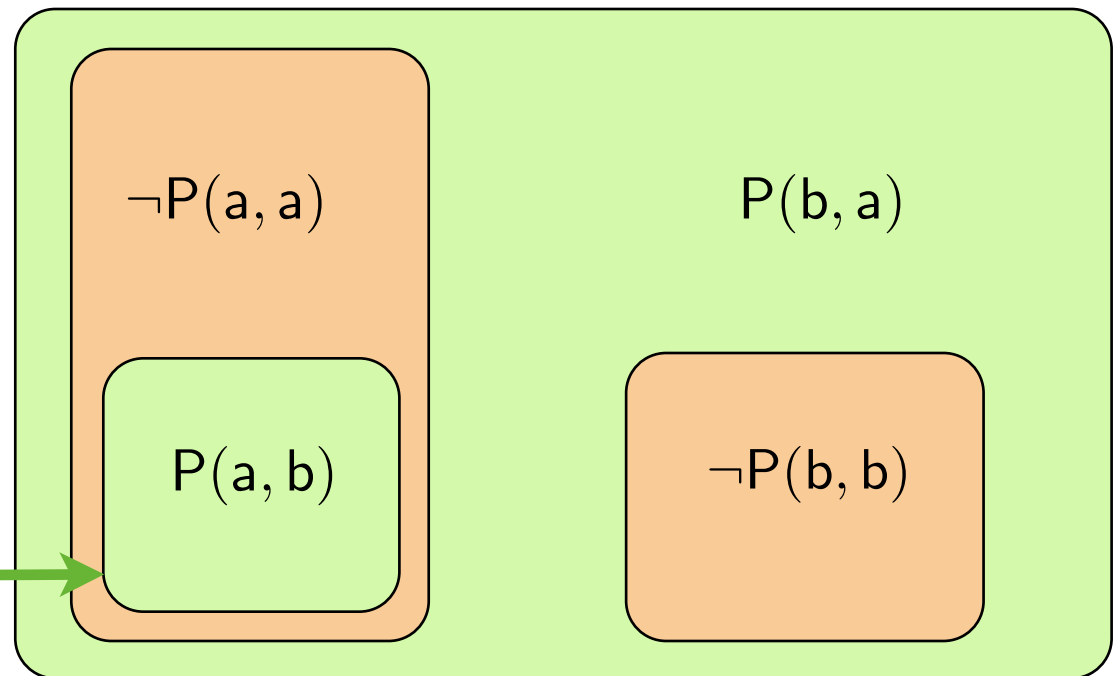
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Interpretation Induced by a Branch

Context Λ

$P(x, y)$
|
 $\neg P(a, y)$
|
 $\neg P(b, b)$
|
 $P(a, b)$

Interpretation I_Λ



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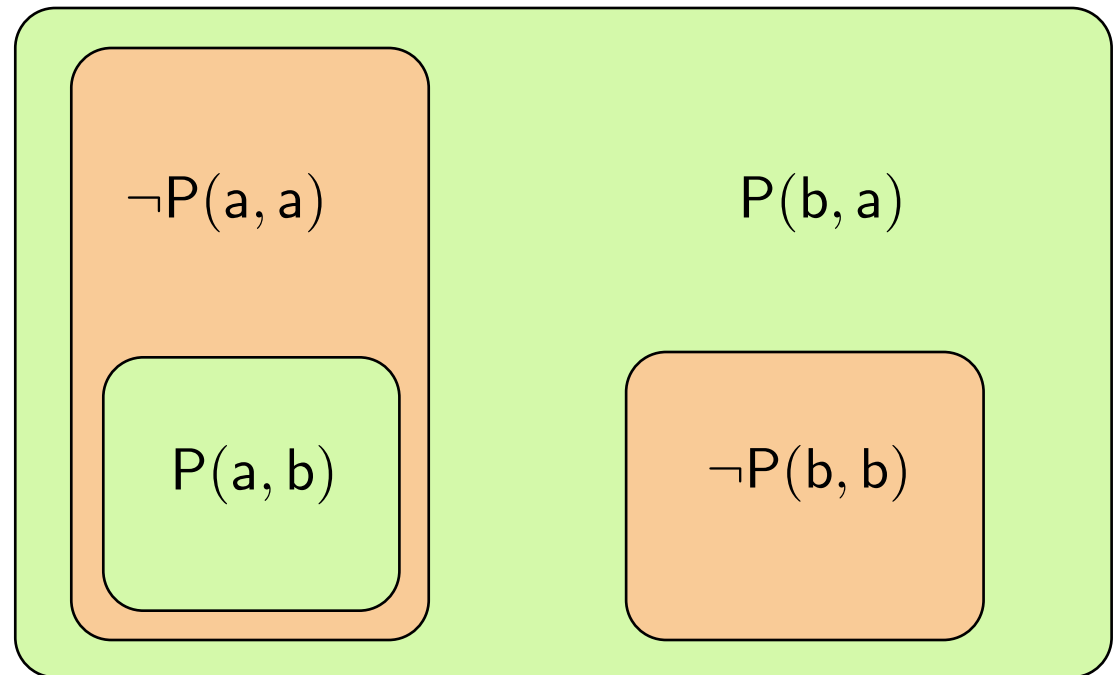
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Context Λ

$\{ P(x, y),$
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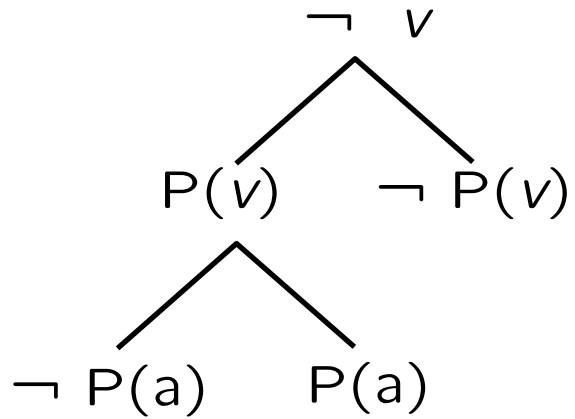
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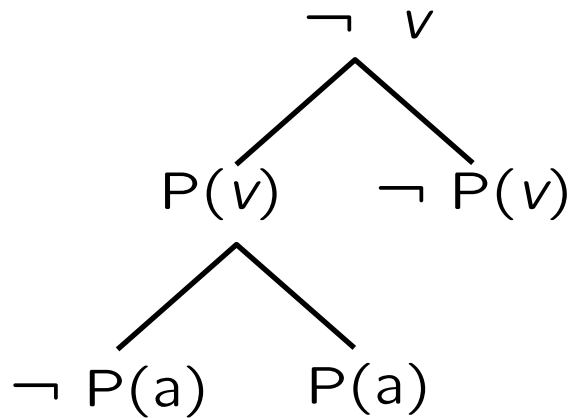
- A context literal specifies a truth value for all its ground instances, unless there is a more specific literal specifying the opposite truth value
- **The order of the context literals is irrelevant**

Inference Rule: Split



$$\{\neg v, P(v), \neg P(a)\} \stackrel{?}{\models} P(x) \vee Q(x)$$

Inference Rule: Split



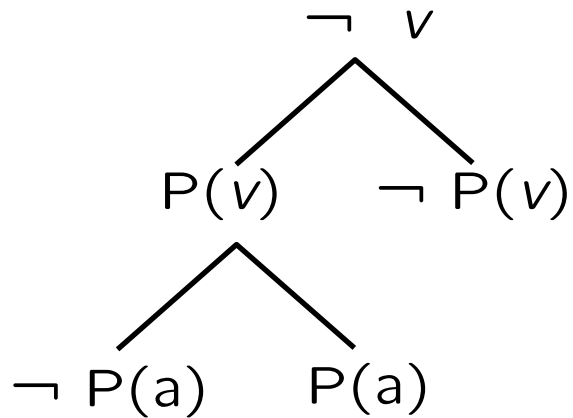
Context: $\{\neg v, P(v), \neg P(a)\}$

True: $P(b)$

False: $\neg P(a), \neg Q(a), \neg Q(b)$

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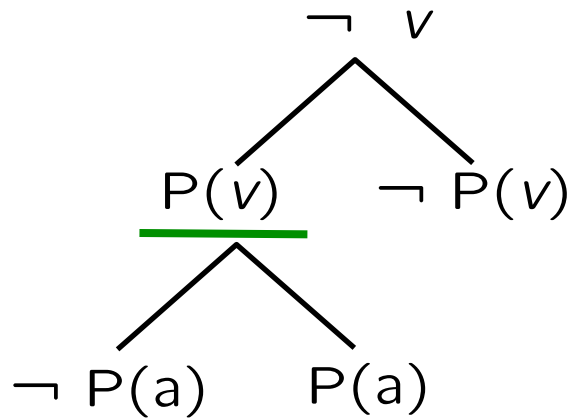
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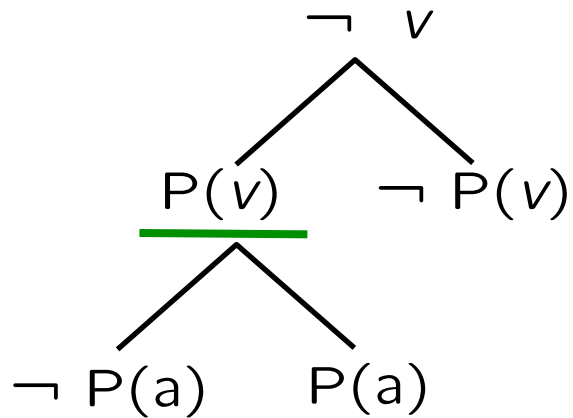
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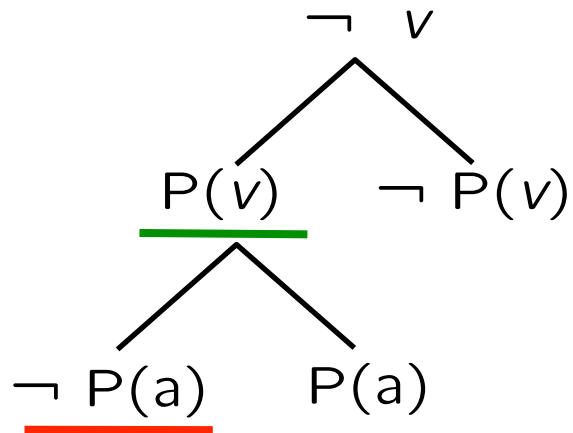
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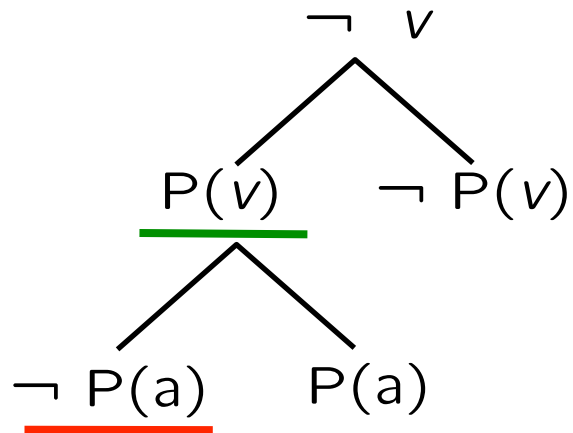
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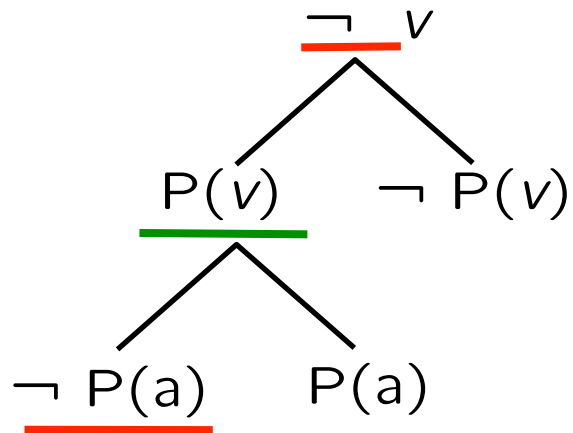
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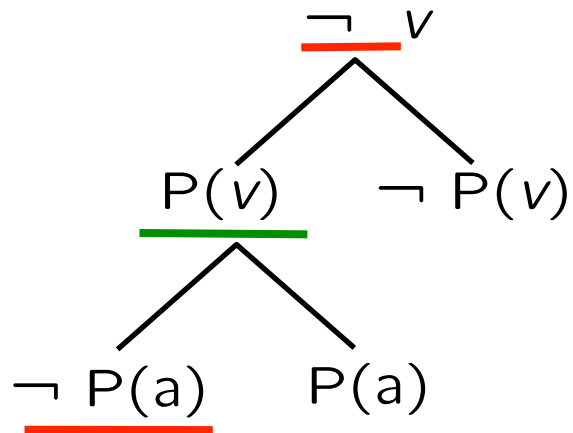
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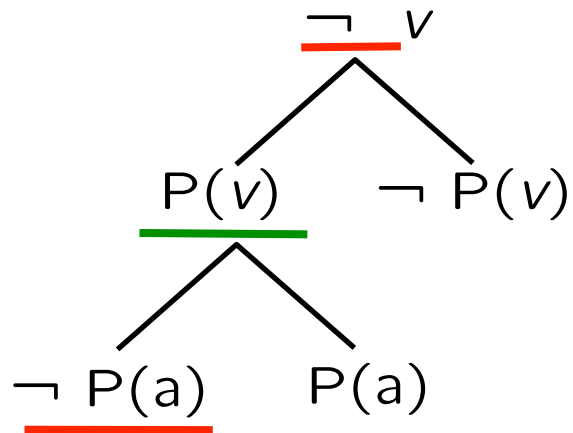
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Inference Rule: Split



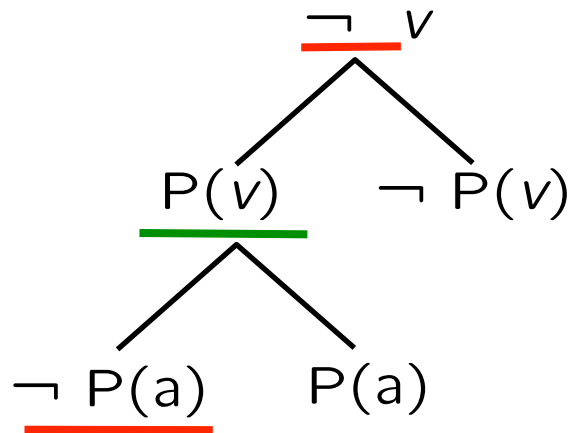
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Inference Rule: Split



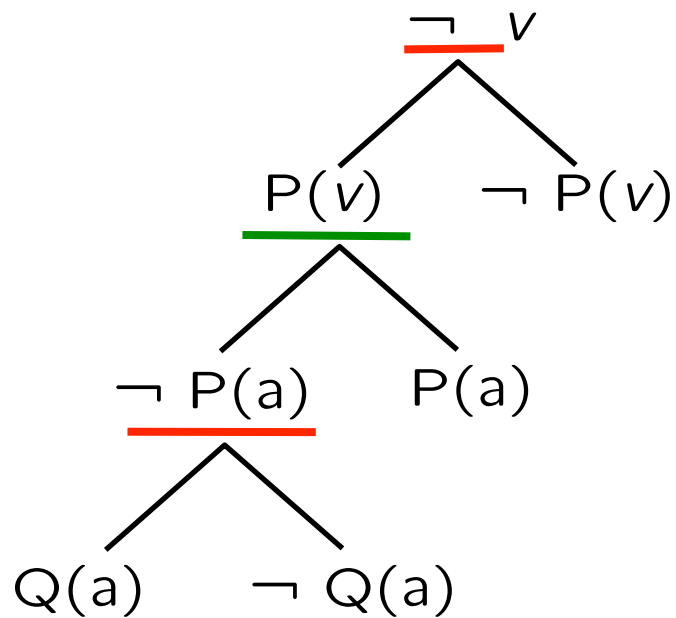
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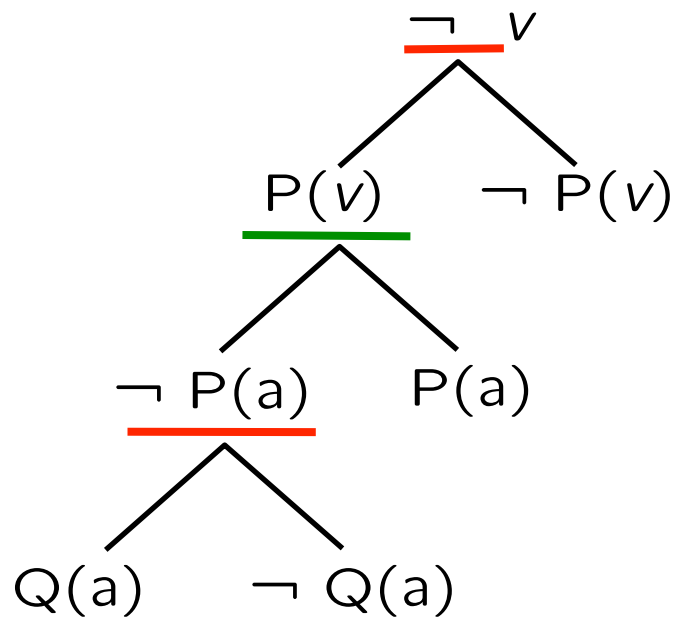
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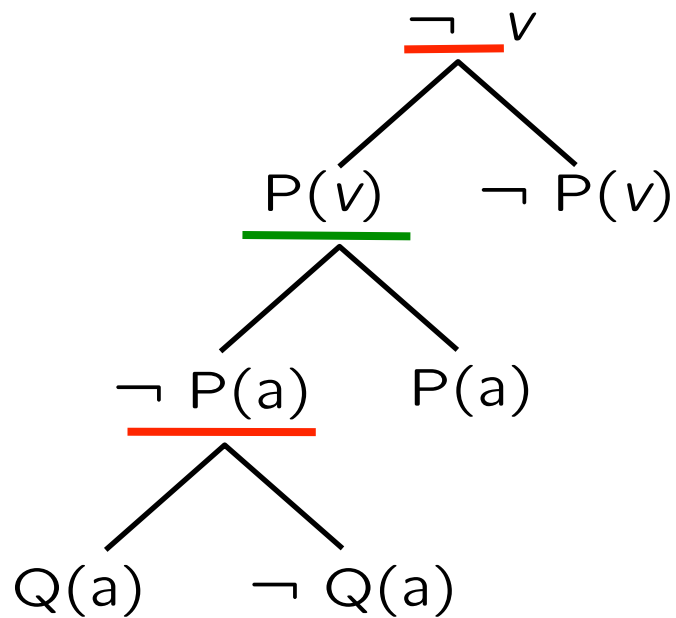
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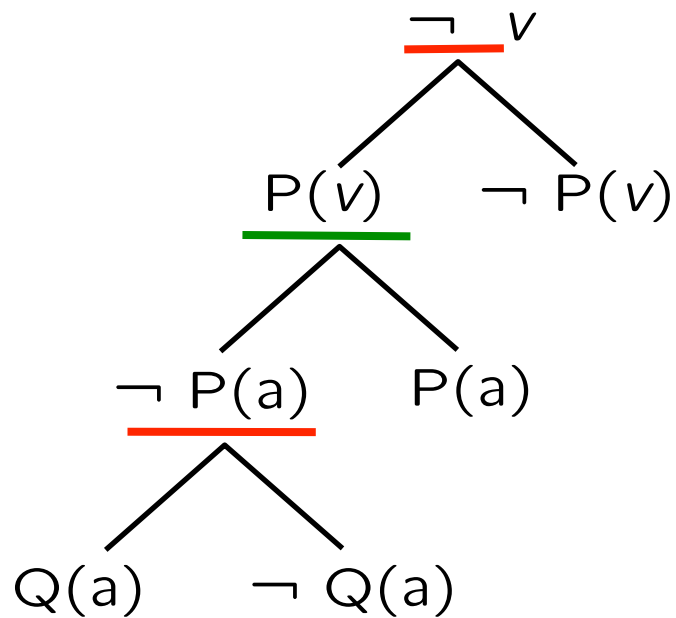
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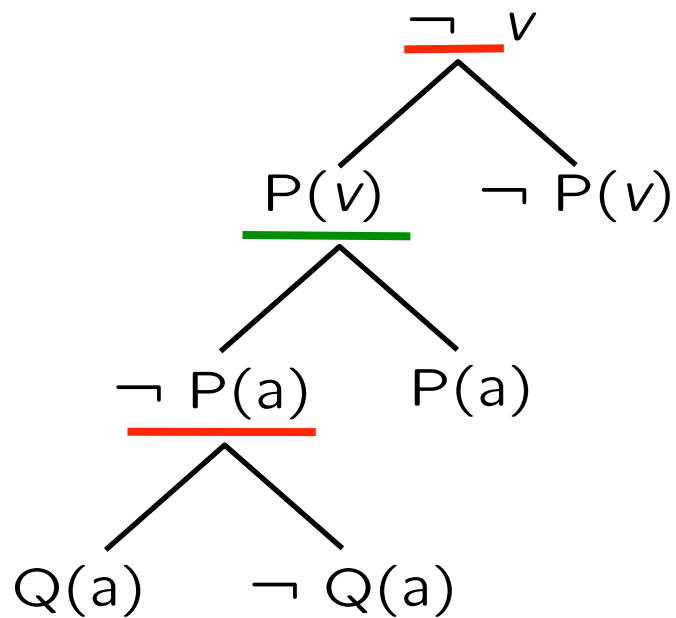
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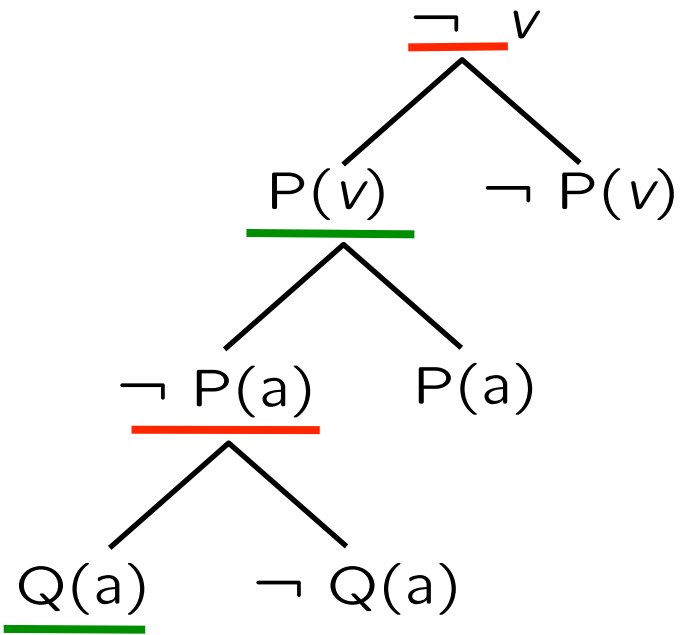
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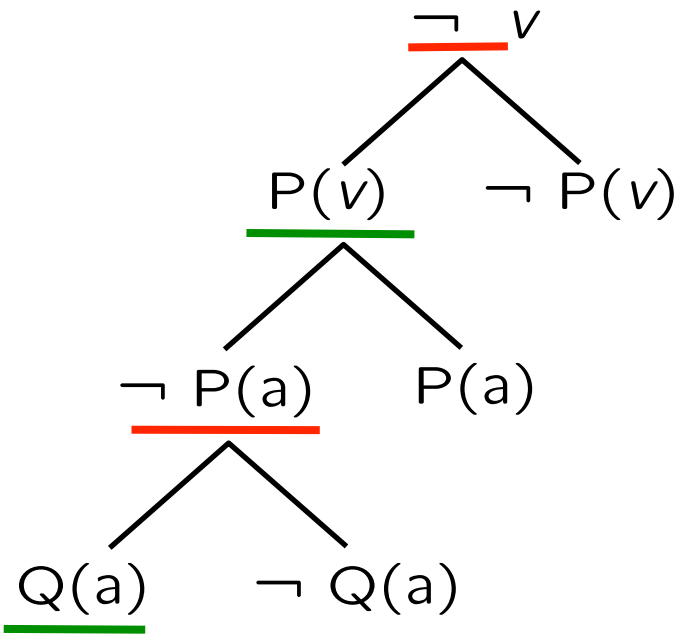
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$$\begin{array}{l}
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 \{\neg v, P(v), \neg P(a), Q(a)\} \stackrel{?}{\models} P(x) \vee Q(x)
 \end{array}
 \quad \times \quad
 \xrightarrow{\text{Context Unifier}}
 \quad
 P(a) \vee \underline{Q(a)}$$

Split

Inference Rule: Split



Context: $\{\neg v, P(v), \neg P(a)\}$

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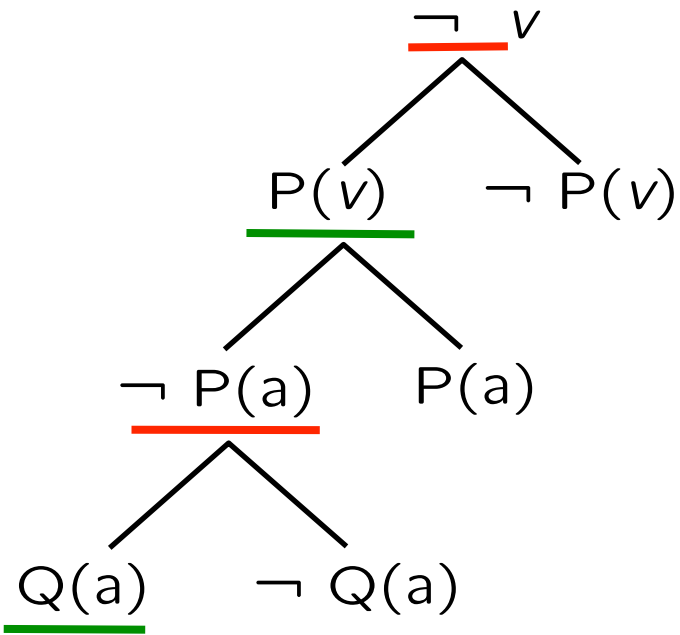
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$$\{\neg v, P(v), \neg P(a), Q(a)\} \stackrel{?}{\models} P(x) \vee Q(x)$$

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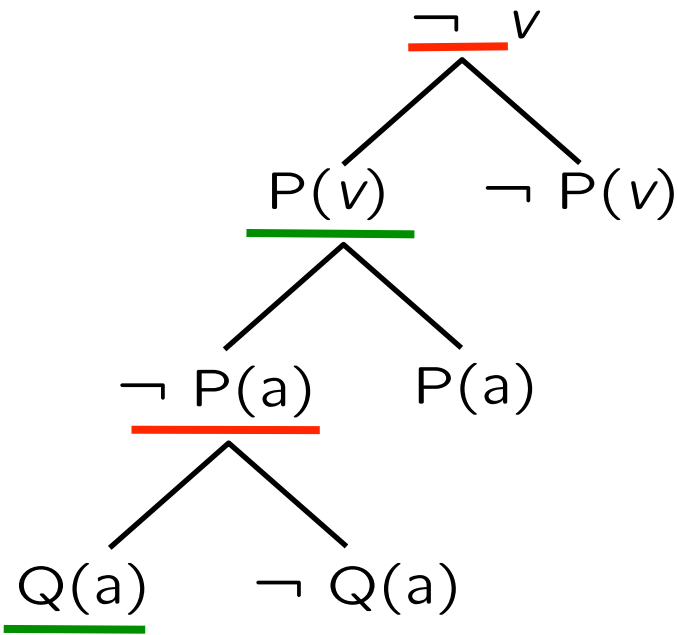
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$$\times \xrightarrow{\text{Context Unifier}} P(a) \vee \underline{Q(a)}$$

Split

$$\{\neg v, P(v), \neg P(a), Q(a)\} \stackrel{?}{\models} \underline{P(x)} \vee \underline{Q(x)} \quad \checkmark$$

Inference Rule: Split



Context: $\{\neg v, P(v), \neg P(a)\}$

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False: $\neg P(a)$, $\neg Q(a)$, $\neg Q(b)$

Context: $\{\neg v, P(v), \neg P(a), Q(a)\}$

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$$\{\neg v, P(v), \neg P(a)\} \stackrel{?}{\models} \underline{P(x) \vee Q(x)}$$

✗

Context Unifier

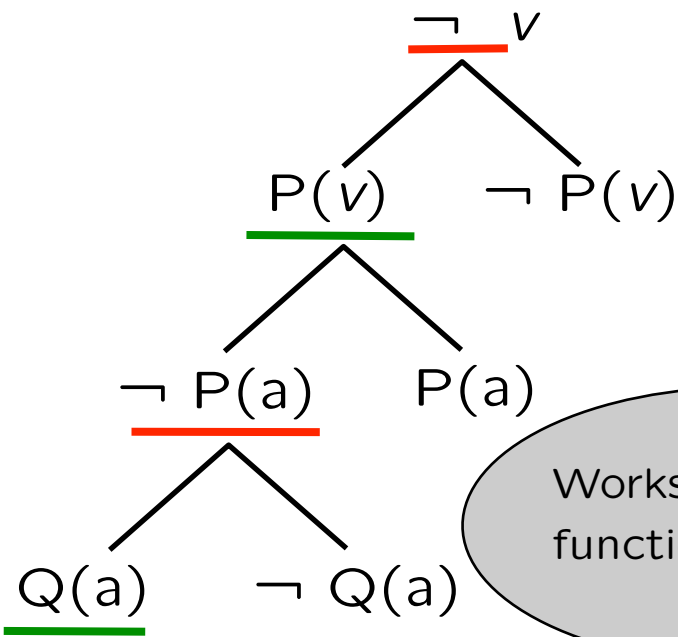
$$\longrightarrow P(a) \vee \underline{Q(a)}$$

Split

$$\{\neg v, P(v), \neg P(a), Q(a)\} \stackrel{?}{\models} \underline{P(x)} \vee \underline{Q(x)} \quad \checkmark$$

Split - detect falsified instances and repair interpretation
Additional rules: Close, Assert, Compact, Resolve, Subsume

Inference Rule: Split



Context: $\{\neg v, P(v), \neg P(a)\}$

True: $P(b)$

False: $\neg P(a)$, $\neg Q(a)$, $\neg Q(b)$

Context: $\{\neg v, P(v), \neg P(a), Q(a)\}$

True: $P(b)$, $Q(a)$

False: $\neg P(a)$, $\neg Q(b)$

Works also with
function symbols

$$\{\neg v, P(v), \neg P(a)\} \stackrel{?}{\models} \underline{P(x) \vee Q(x)} \quad \times$$

$$\xrightarrow{\text{Context Unifier}} P(a) \vee \underline{Q(a)} \quad \text{Split}$$

$$\{\neg v, P(v), \neg P(a), Q(a)\} \stackrel{?}{\models} \underline{P(x)} \vee \underline{Q(x)} \quad \checkmark$$

Split - detect falsified instances and repair interpretation
Additional rules: Close, Assert, Compact, Resolve, Subsume

ME → MEE → MEE(T) - Data Structures

- **ME**

- Context Λ induces Herbrand interpretation I_Λ via productivity
- Clauses $C \in \Phi$ are evaluated in I_Λ to drive derivation

- **MEE** (ME with **equality**)

- Context Λ induces rewrite system \mathfrak{R}_Λ via productivity and **Bachmair-Ganzinger-like model construction**
- Constraint clauses $(C \leftarrow R) \in \Phi$

- Paramodulation from context literals Λ into C

$$\frac{f(a) \approx a \qquad P(f(x)) \vee Q(x, y) \leftarrow}{P(a) \vee Q(a, y) \leftarrow f(a) \approx a}$$

- Splitting with a literal from $C \cup \neg R$
 - Semantics (ground): $\Lambda \models C \leftarrow R$ iff $(\mathfrak{R}_\Lambda^* \models C \text{ or } R \notin \mathfrak{R}_\Lambda)$

MEE(**T**) - Data Structures

- Purified constraint clauses $C \leftarrow R \cdot c$
 - Ordinary clause C over foreground operators
 - Restriction R as above over foreground operators
 - **Constraint c** over **background signature**
 - Communication via shared variables (only)

$\text{select}(\text{store}(a, i, e), j) \approx \text{select}(a, j) \leftarrow \emptyset \cdot i \neq j$ OK

$a_2 \approx \text{store}(a_1, n, 5) \leftarrow \emptyset \cdot \emptyset$ not OK

$a_2 \approx \text{store}(a_1, n, e) \leftarrow \emptyset \cdot e = 5$ OK (n is foreground)

$a_2 \approx \text{store}(a_1, n, e) \leftarrow \emptyset \cdot e = 5 \wedge n = n$ OK (n is background)

MEE(**T**) - Data Structures

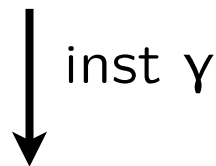
- $C \leftarrow R \cdot c$ stands for all instances $(C \leftarrow R \cdot c)\gamma$
where γ maps $\text{var}(c)$ to symbolic constants ("rigid variables")

$$\text{select}(\text{store}(a, i, e), j) \approx \text{select}(a, j) \leftarrow \emptyset \cdot i \neq j$$

MEE(T) - Data Structures

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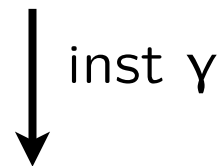


$$\text{select}(\text{store}(a, r_1, e), r_1) \approx \text{select}(a, r_1) \leftarrow \emptyset \cdot r_1 \neq r_1$$

MEE(T) - Data Structures

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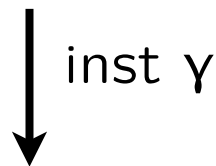
$$\text{select}(\text{store}(a, r_1, e), r_2) \approx \text{select}(a, r_2) \leftarrow \emptyset \cdot r_1 \neq r_2$$

...

MEE(T) - Data Structures

- $C \leftarrow R \cdot c$ stands for all instances $(C \leftarrow R \cdot c)\gamma$ where γ maps $\text{var}(c)$ to symbolic constants ("rigid variables")

$$\text{select}(\text{store}(a, i, e), j) \approx \text{select}(a, j) \leftarrow \emptyset \cdot i \neq j$$



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$$\text{select}(\text{store}(a, r_1, e), r_2) \approx \text{select}(a, r_2) \leftarrow \emptyset \cdot r_1 \neq r_2$$

...

- The role of rigid variables
 - For foreground calculus: uninterpreted constants
 - For background reasoner: existentially quantified variables (however same r can be shared across constraint clauses)
- -> Instantiation via γ enables separation of reasoning

Main Inference Rule: Paramodulation

- Constraint clauses $C \leftarrow R \cdot c$ are
 - paramodulated into C by context equations Λ

$$\frac{\underline{f(r_1)} \approx a \qquad P(\underline{f(x)}) \vee Q(x, y) \leftarrow \emptyset \cdot x + y > r_2}{P(a) \vee Q(r_1, y) \leftarrow f(r_1) \approx a \cdot r_1 + y > r_2}$$

- used for Splitting if
 - C consists of positive literals only, and
 - $(C \leftarrow R \cdot c)$ is (potentially) falsified in $\Lambda \cdot \Gamma$ where Γ is the "global background context" (next slide)

Main Inference Rule: Splitting

\wedge

Φ

$$\begin{array}{c} \neg \vee \\ | \cdot \cdot \cdot \\ f(r_1) \approx a \end{array}$$

$$P(a) \vee Q(r_1, y) \leftarrow f(r_1) \approx a \cdot r_1 + y > r_2$$

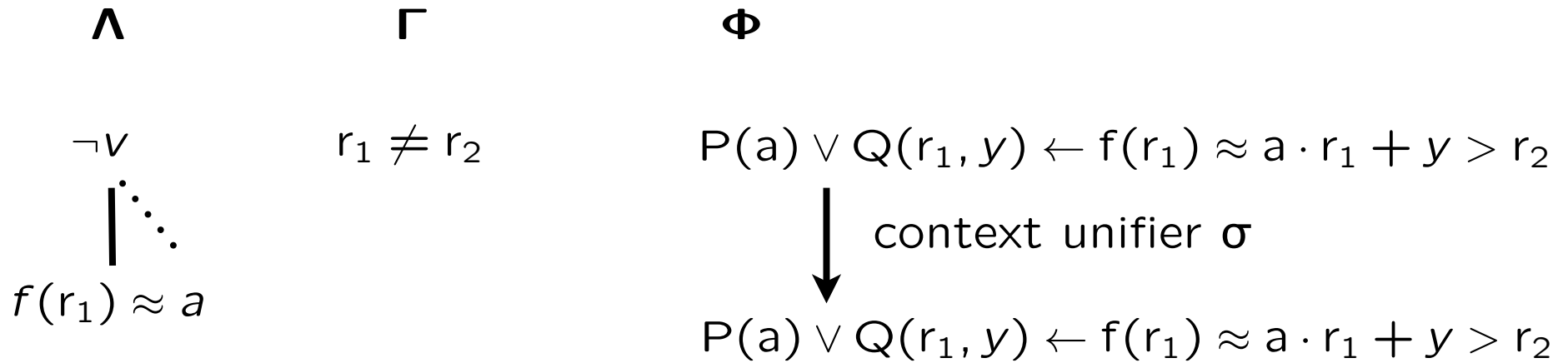
Main Inference Rule: Splitting

A global background context Γ collects instantiated constraints

$$\begin{array}{ccc} \Lambda & \Gamma & \Phi \\ \neg \forall & r_1 \neq r_2 & P(a) \vee Q(r_1, y) \leftarrow f(r_1) \approx a \cdot r_1 + y > r_2 \\ \begin{array}{c} | \cdot \cdot \cdot \\ f(r_1) \approx a \end{array} & & \end{array}$$

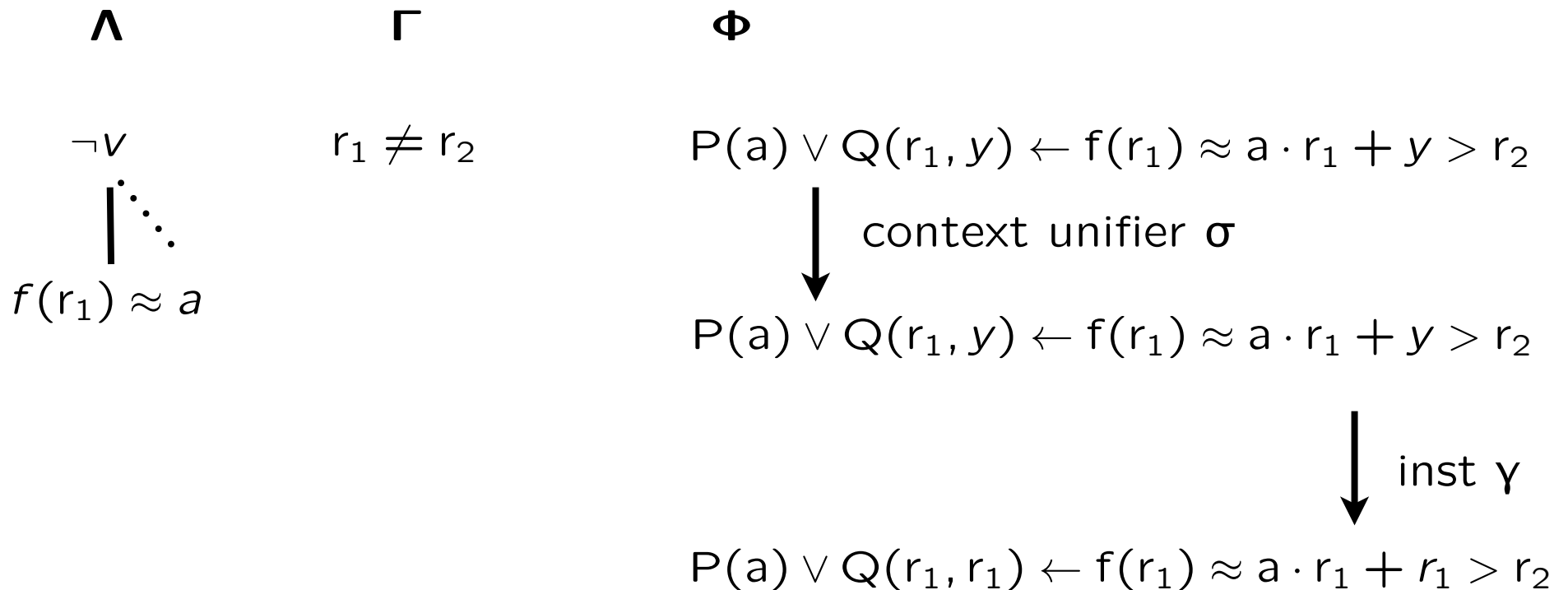
Main Inference Rule: Splitting

A global background context Γ collects instantiated constraints



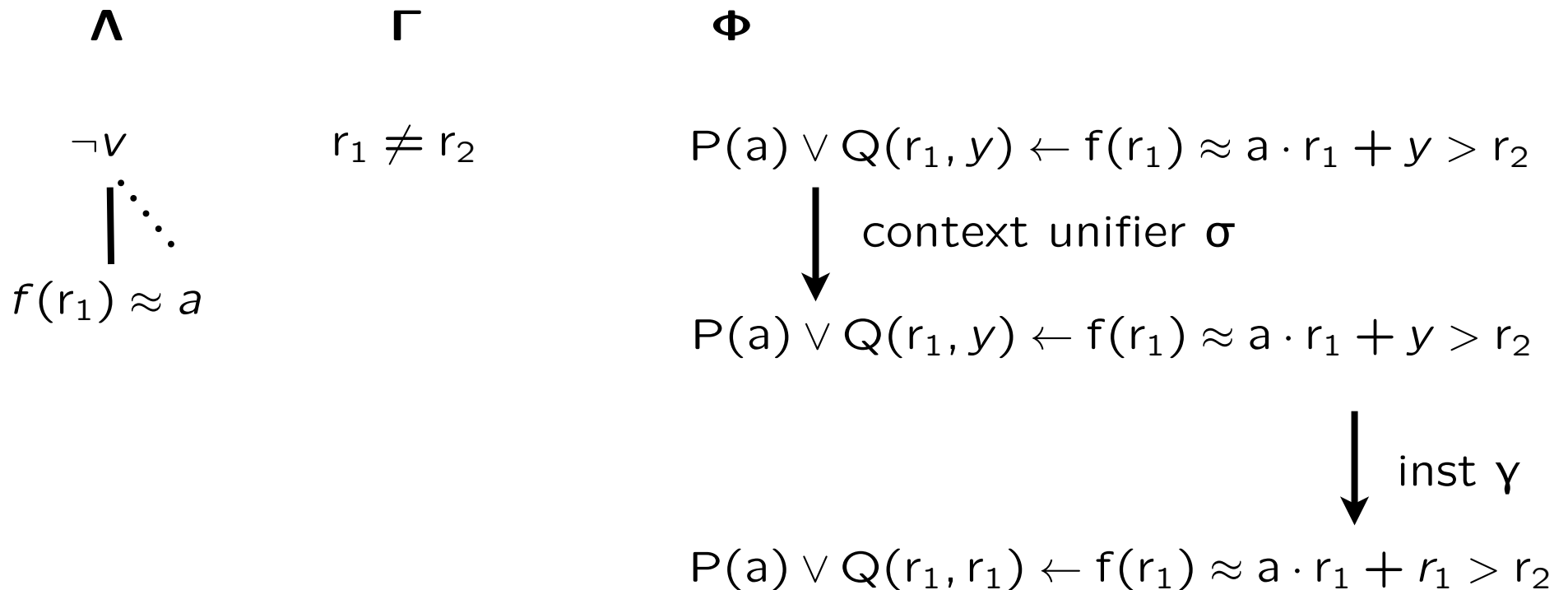
Main Inference Rule: Splitting

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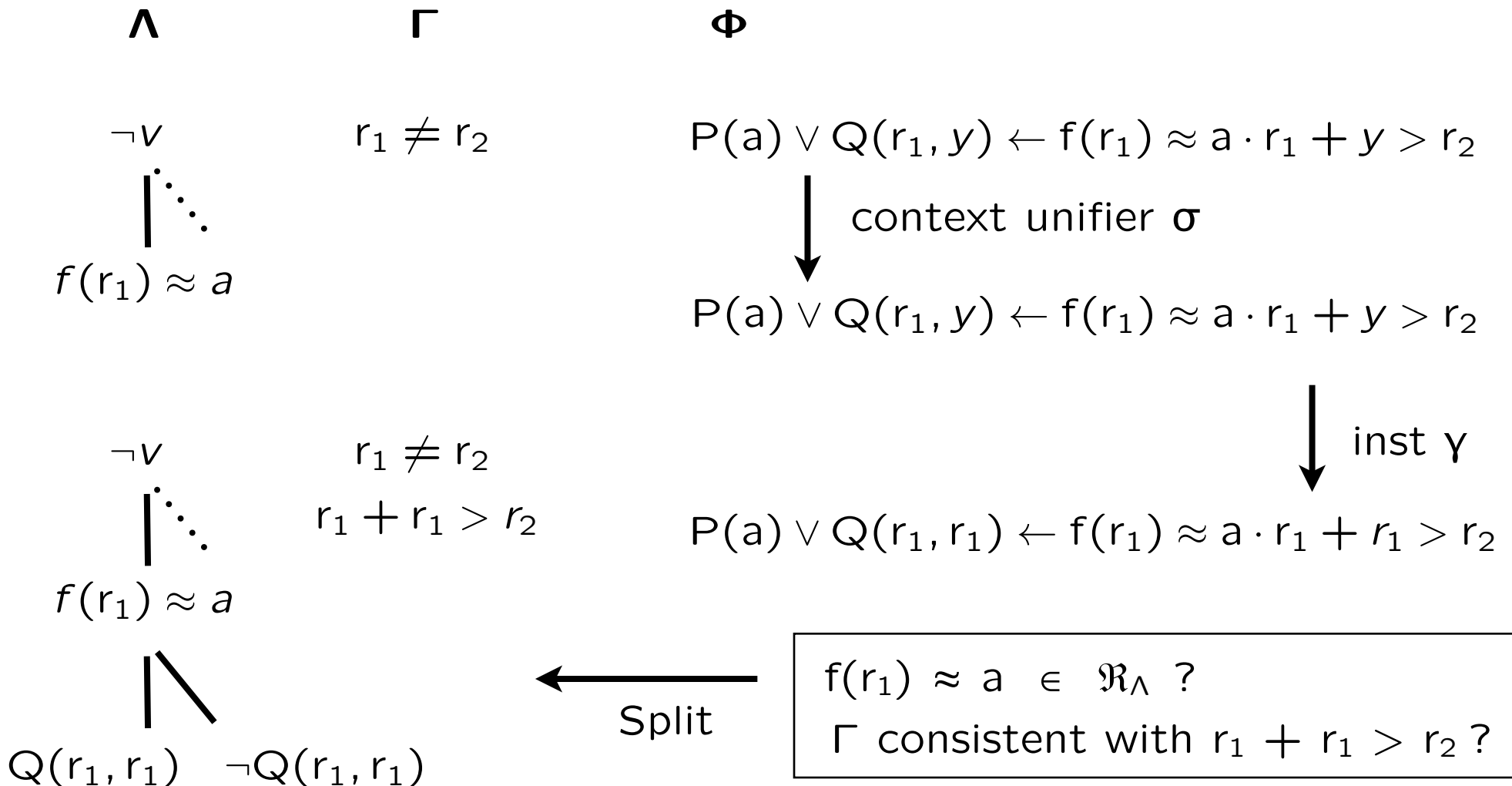


$f(r_1) \approx a \in \mathfrak{R}_\Lambda ?$

Γ consistent with $r_1 + r_1 > r_2 ?$

Main Inference Rule: Splitting

A global background context Γ collects instantiated constraints



The Bigger Picture

- **Derivations** on top of the above (and other) inference rules
- **Model construction** as before but parameteric in models of Γ
- **Background reasoner**
 - Satisfiability checker for quantifier-free constraints
 - Quantifier elimination if free background constants allowed
- **Improvements** (some not in the paper)
 - Ordering refinements for paramodulation
 - Selection function to focus paramodulation inference
 - Simplification by context literals (gives DPLL)
 - Simplification by rewriting with unit clauses (gives KB)
 - Proper subsumption
 - Universal context literals
 - $\forall x f(x) \approx x$ no exceptions allowed

Soundness and Completeness Under Conditions

- **Fairness**

- standard: non-redundant inferences must become redundant eventually
- specific: Γ must persistently represent all solutions of constraints of non-redundant clauses, see below

- **Completeness**

- Free background constants must be finitely bounded

$$P(0) \quad P(x) \Rightarrow P(x+1) \quad \neg P(n)$$

is not OK. (No complete calculus in this case anyway.)

- Possible "fix": add input formula $0 \leq n \leq 100$
 - This is much better than using $n \approx 0 \vee \dots \vee n \approx 100$
 - But gives a (fixable) soundness problem, see below

Soundness and Completeness Under Conditions

- **Soundness Problem and Fix**

- Satisfiable clause set, n is free background const

$$P(x) \leftarrow x < 50 \quad \neg P(n) \quad 0 \leq n \leq 100$$

- Will find a "refutation" with $\Gamma = \{ r_1 < 50, r_1 = n \}$
- Problem is that unsatisfiability has been established for **some** values for n, not for **all** values
- Fix:
 - Eliminate rigid variables, giving $\Gamma_1 = \{ n < 50 \}$
 - Start new derivation, this time with a $\Gamma = \{ \neg(n < 50) \}$
 - Will find a model in that derivation
- Exhausts finitely in the bounded case
- **Conflict-driven**

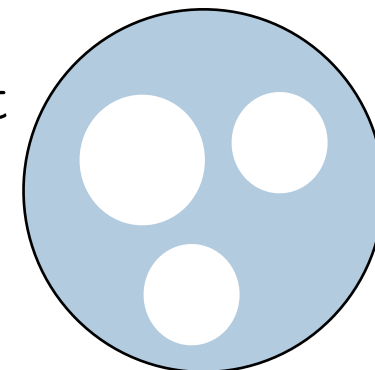
Soundness and Completeness Under Conditions

■ Soundness Problem and Fix

- Satisfiable clause set, n is free background const

$$P(x) \leftarrow x < 50 \quad \neg P(n)$$

$$0 \leq n \leq 100$$



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- **Conflict-driven**

Fairness Problem

$$P(x) \leftarrow 0 < x \quad (1)$$

$$\neg P(x) \vee Q(x) \leftarrow 3 < x \quad (2)$$

$$\neg P(x) \leftarrow x = 2 \quad (3)$$

A derivation might always prefer (1) and (2) over (3):

Λ

Γ

(1) $P(r1)$

$0 < r1$

(2)

Problem: Solution of $x = 2$ in (3) is not persistently represented

Fairness Problem

$$P(x) \leftarrow 0 < x \quad (1)$$

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\vdots

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\vdots

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(3) does not close as $r1=2$ not consistent with Γ

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$$\vdots$$

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$$\vdots$$

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$$0 < r2$$

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$$\vdots$$

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$$3 < r_1$$

$$\vdots$$

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$$\vdots$$

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Fairness Problem - Solution

$$P(x) \leftarrow 0 < x \quad (1)$$

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- Slice BG domain into finite segments [1 .. 5] [6 .. 10] [11 .. 15] ...
- Fix one such domain for each rigid variable
- Provide enough rigid vars eventually to fully cover each segment

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$$(1) \quad 0 < r1 \quad r1 \in [1 \dots 5]$$

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Fairness Problem - Solution

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$$(2) \quad 3 < r_2 \quad \Rightarrow r_2 \in [4 \dots 5]$$

Fairness Problem - Solution

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~~$$(2) \quad 3 < r_3 \quad \Rightarrow r_3 \in [4 \dots 5]$$~~

Impossible:

$r_1, r_2, r_3 \in [4 \dots 5]$ with
 $r_1 \neq r_2, r_1 \neq r_3, r_2 \neq r_3$

Fairness Problem - Solution

$$P(x) \leftarrow 0 < x \quad (1)$$

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$$(3) \quad r_3 = 2 \quad \Rightarrow r_3 \in [2 \dots 2]$$

Impossible:

$r_1, r_2, r_3 \in [4 \dots 5]$ with
 $r_1 \neq r_2, r_1 \neq r_3, r_2 \neq r_3$

Fairness Problem - Solution

$$P(x) \leftarrow 0 < x \quad (1)$$

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$$(2) \quad 3 < r2 \quad \Rightarrow r2 \in [4 \dots 5]$$

$$(1) \quad 0 < r3 \quad r3 \in [1 \dots 5]$$

~~$$(2) \quad 3 < r3 \quad \Rightarrow r3 \in [4 \dots 5]$$~~

$$(3) \quad r3 = 2 \quad \Rightarrow r3 \in [2 \dots 2]$$

Impossible:

$r1, r2, r3 \in [4 \dots 5]$ with
 $r1 \neq r2, r1 \neq r3, r2 \neq r3$

now close with (3)

Conclusions

- Sketched the new MEE(T) calculus
- Intended for application in software verification, analysis of constraint problems, analysis of business rules and process models
- If it terminates without a refutation it provides a model
 - Useful for countermodel finding
 - Decides extensions of Bernays-Schoenfinkel fragment
- Issues/Future work
 - Lack of guidance for instantiation substitution γ
 - Expensive background satisfiability check called frequently
 - Background-sorted foreground operators, as in $\text{car}(\text{cons}(x, l)) \approx x \leftarrow \emptyset \cdot \emptyset$
- Download implementation: see my home page