

CDCL as Saturation

Peter Baumgartner



Australian
National
University

Research Interest: Automated Deduction

Logics

First-order logic with equality / EPR

Theory reasoning, e.g., modulo LIA

CTL*(FO(LIA))

Calculi

Model evolution (first-order DPLL)

Hierarchic superposition

Reasoning services

Proving theorems

Disproving theorems

Systems

Darwin

Beagle Fitzroy

Example: Theory Reasoning

Theory reasoning

Lists over integers

$$(l \approx \text{nil}) \vee (l \approx \text{cons}(\text{head}(l), \text{tail}(l)))$$

$$\neg(\text{cons}(k, l) \approx \text{nil})$$

$$\text{head}(\text{cons}(k, l)) \approx k$$

$$\text{tail}(\text{cons}(k, l)) \approx l$$

The `inRange` predicate, e.g. `inRange([1,0,5], 6)`

$$\text{nRange}(l, n) \leftrightarrow (l \approx \text{nil} \vee (0 \leq \text{head}(l) < n \wedge \text{inRange}(\text{tail}(l), n)))$$

Conjecture

$$\forall l:\text{list } n:\text{int } (\neg(l \approx \text{nil}) \rightarrow (\text{inRange}(l, n) \rightarrow \text{inRange}(\text{cons}(\text{head}(l), l), n)))$$

LIA + Lists/Arrays + Hypotheses \models Conjecture ?

LIA + Lists/Arrays + Hypotheses $\not\models$ Conjecture ?

Example: Theory Reasoning

Theory reasoning

Lists over integers

$$(l \approx \text{nil}) \vee (l \approx \text{cons}(\text{head}(l), \text{tail}(l)))$$

$$\neg(\text{cons}(k, l) \approx \text{nil})$$

$$\text{head}(\text{cons}(k, l)) \approx k$$

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Injective

Not surjective

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LIA + Lists/Arrays + Hypotheses \models Conjecture ?

LIA + Lists/Arrays + Hypotheses $\not\models$ Conjecture ?

Example: Theory Reasoning

Theory reasoning

Lists over integers

$$(l \approx \text{nil}) \vee (l \approx \text{cons}(\text{head}(l), \text{tail}(l)))$$

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Conjecture

$$\forall l:\text{list } n:\text{int } (\neg(l \approx \text{nil}) \rightarrow (\text{inRange}(l, n) \rightarrow \text{inRange}(\text{cons}(\text{head}(l), l), n)))$$

“Proving infinite satisfiability”

LIA + Lists/Arrays \models Conjecture ?

LIA + Lists/Arrays + Hypotheses $\not\models$ Conjecture ?

CDCL as Saturation - Motivation

Background

Conflict driven clause learning (**CDCL**) for building SAT solvers

Superposition/Resolution (**Saturation**) for building FOL theorem provers

This talk

Modelling the essence of CDCL in a saturation based framework

Technical difficulty: modelling context switches (backjumping)

Goals

Scientific curiosity: relationship between CDCL and saturation?

(Building SAT solvers)

Building FO theorem provers

Instance-based methods: [Plaisted], [Korovin], [BTinelli],...

More recently: [AlagiWeidenbach], [BonacinaPlaisted]

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

$$B \vee \neg A \quad (2)$$

$$C \vee \neg B \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \quad (4)$$

$$\neg C \vee A \quad (5)$$

$$C \vee A \quad (6)$$

$$D \vee \neg F \quad (7)$$

Example CDCL Derivation

$$F \vee D \quad (0)$$

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$$D \vee \neg F \quad (7)$$

[D] (Decide)

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

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$$\neg C \vee A \quad (5)$$

$$C \vee A \quad (6)$$

$$D \vee \neg F \quad (7)$$

[D] (Decide)

[E] (Decide)

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

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$$\neg D \vee \neg C \vee \neg A \quad (4)$$

$$\neg C \vee A \quad (5)$$

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[D] (Decide)

[E] (Decide)

[A] (Decide)

Example CDCL Derivation

$$F \vee D \quad (0)$$

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$$\neg D \vee \neg C \vee \neg A \quad (4)$$

$$\neg C \vee A \quad (5)$$

$$C \vee A \quad (6)$$

$$D \vee \neg F \quad (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

B (by 2,[A])

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

$$B \vee \neg A \quad (2)$$

$$C \vee \neg B \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \quad (4)$$

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$$C \vee A \quad (6)$$

$$D \vee \neg F \quad (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

B (by 2,[A])

C (by 3,B)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
$B \vee \neg A$	(2)	$C \vee A$	(6)
$C \vee \neg B$	(3)	$D \vee \neg F$	(7)

[D]	(Decide)
[E]	(Decide)
[A]	(Decide)
B	(by 2,[A])
C	(by 3,B)
	(conflict 4)

Example CDCL Derivation

$$F \vee D \quad (0)$$

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$$\neg D \vee \neg C \vee \neg A \quad (4)$$

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[D] (Decide)

[E] (Decide)

[A] (Decide)

B (by 2,[A])

C (by 3,B)

(conflict 4)

$$\neg D \vee \neg B \vee \neg A \quad (8 \text{ by } 4,3)$$

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

$$B \vee \neg A \quad (2)$$

$$C \vee \neg B \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \quad (4)$$

$$\neg C \vee A \quad (5)$$

$$C \vee A \quad (6)$$

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[D] (Decide)

[E] (Decide)

[A] (Decide)

B (by 2,[A])

C (by 3,B)

(conflict 4)

$$\neg D \vee \neg B \vee \neg A \quad (8 \text{ by } 4,3)$$

$$\neg D \vee \neg A \quad (9 \text{ by } 8,2)$$

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

$$B \vee \neg A \quad (2)$$

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$$\neg D \vee \neg C \vee \neg A \quad (4)$$

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[D] (Decide)

[E] (Decide)

[A] (Decide)

B (by 2,[A])

C (by 3,B)

(conflict 4)

Backjump



$$\neg D \vee \neg B \vee \neg A \quad (8 \text{ by } 4,3)$$

$$\neg D \vee \neg A \quad (9 \text{ by } 8,2)$$

Example CDCL Derivation

$F \vee D$ (0)

$G \vee E \vee \neg D$ (1)

$B \vee \neg A$ (2)

$C \vee \neg B$ (3)

$\neg D \vee \neg C \vee \neg A$ (4)

$\neg C \vee A$ (5)

$C \vee A$ (6)

$D \vee \neg F$ (7)

[D] (Decide)
[E] (Decide)
[A] (Decide)
B (by 2,[A])
C (by 3,B)
(conflict 4)

[D]

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)

$\neg D \vee \neg A$ (9 by 8,2)

Example CDCL Derivation

$$F \vee D \quad (0)$$

$$G \vee E \vee \neg D \quad (1)$$

$$B \vee \neg A \quad (2)$$

$$C \vee \neg B \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \quad (4)$$

$$\neg C \vee A \quad (5)$$

$$C \vee A \quad (6)$$

$$D \vee \neg F \quad (7)$$

[D] (Decide)
[E] (Decide)
[A] (Decide)
B (by 2,[A])
C (by 3,B)
(conflict 4)

[D]
[E]

Backjump

$$\neg D \vee \neg B \vee \neg A \quad (8 \text{ by } 4,3)$$

$$\neg D \vee \neg A \quad (9 \text{ by } 8,2)$$

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
$B \vee \neg A$	(2)	$C \vee A$	(6)
$C \vee \neg B$	(3)	$D \vee \neg F$	(7)

[D] (Decide)
 [E] (Decide)
 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
$B \vee \neg A$	(2)	$C \vee A$	(6)
$C \vee \neg B$	(3)	$D \vee \neg F$	(7)

[D] (Decide)
 [E] (Decide)
 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])
 C (by 6, $\neg A$)

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
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[D] (Decide)
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 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])
 C (by 6, $\neg A$)
 (conflict 5)

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
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 [E] (Decide)
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 C (by 6, $\neg A$)
 (conflict 5)

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

A (10 by 6,5)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
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[D] (Decide)
 [E] (Decide)
 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])
 C (by 6, $\neg A$)
 (conflict 5)

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

A (10 by 6,5)
 $\neg D$ (11 by 10,9)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
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[D] (Decide)
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 B (by 2,[A])
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 (conflict 4)

[D]
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 C (by 6, $\neg A$)
 (conflict 5)

Backjump

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

A (10 by 6,5)
 $\neg D$ (11 by 10,9)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
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$C \vee \neg B$	(3)	$D \vee \neg F$	(7)

[D] (Decide)
 [E] (Decide)
 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])
 C (by 6, $\neg A$)
 (conflict 5)

$\neg D$ (11)

Backjump

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

A (10 by 6,5)
 $\neg D$ (11 by 10,9)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
$B \vee \neg A$	(2)	$C \vee A$	(6)
$C \vee \neg B$	(3)	$D \vee \neg F$	(7)

[D] (Decide)
 [E] (Decide)
 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])
 C (by 6, $\neg A$)
 (conflict 5)

$\neg D$ (11)
 F (by 0, $\neg D$)

Backjump

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

A (10 by 6,5)
 $\neg D$ (11 by 10,9)

Example CDCL Derivation

$F \vee D$	(0)	$\neg D \vee \neg C \vee \neg A$	(4)
$G \vee E \vee \neg D$	(1)	$\neg C \vee A$	(5)
$B \vee \neg A$	(2)	$C \vee A$	(6)
$C \vee \neg B$	(3)	$D \vee \neg F$	(7)

[D] (Decide)
 [E] (Decide)
 [A] (Decide)
 B (by 2,[A])
 C (by 3,B)
 (conflict 4)

[D]
 [E]
 $\neg A$ (by 9,[D])
 C (by 6, $\neg A$)
 (conflict 5)

$\neg D$ (11)
 F (by 0, $\neg D$)
 \square (FAIL 7)

Backjump

Backjump

$\neg D \vee \neg B \vee \neg A$ (8 by 4,3)
 $\neg D \vee \neg A$ (9 by 8,2)

A (10 by 6,5)
 $\neg D$ (11 by 10,9)

CDCL as Saturation - Alternatives

(1) Nothing to be done

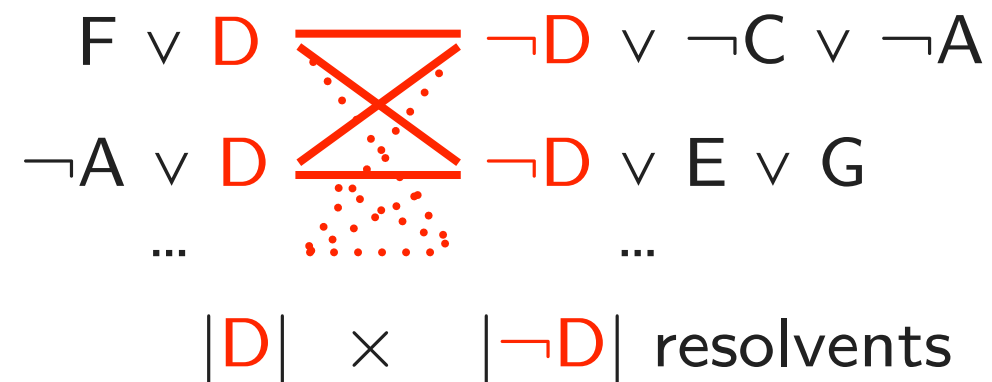
Every CDCL refutation induces a resolution refutation

⇒ Closure under resolution inferences will find that refutation

Problem: ignores search space

Clause recombination problem [Plaisted]:

Resolution

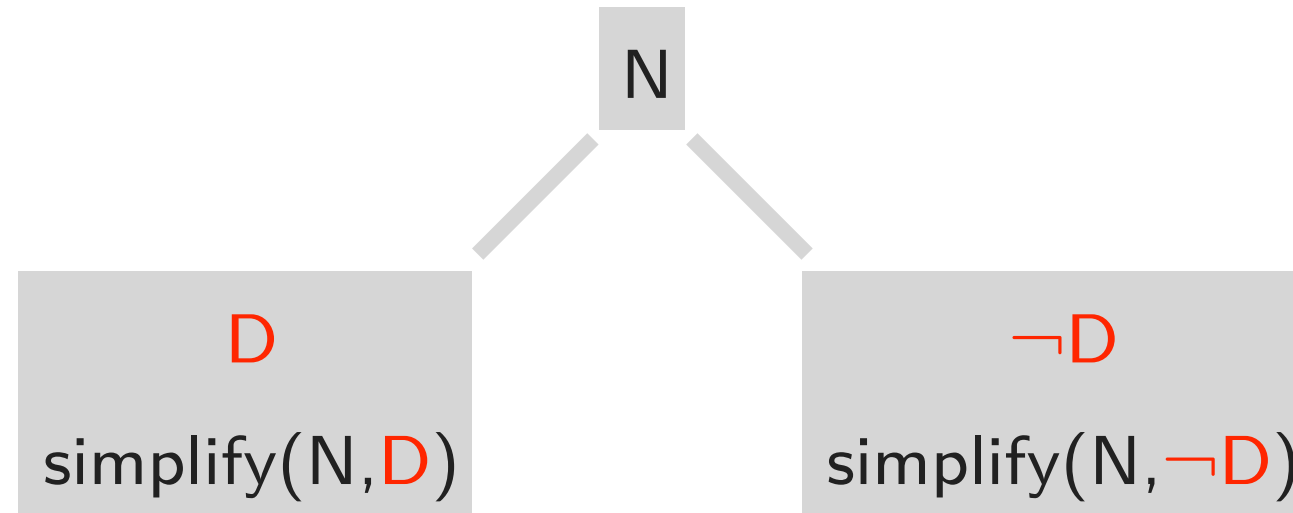


CDCL



CDCL as Saturation - Alternatives

(2) Add a split rule to resolution



Problems

Nothing new

Lifting to first-order logic? (But see Model Evolution)

(3) Approach taken here

Explained in the rest of this talk

Data Structures

Data Structures

Syntax

Decision literal, e.g., $[A], [B]$

Constraint clause, e.g., $\neg D \vee C \leftarrow [B], [A]$

Ordinary clause, e.g., $\neg D \vee C \leftarrow$

Unit clause, e.g., $C \leftarrow [B], [A]$

State: $M \blacksquare N$ where

M is a set of *decision* literals

N is a set of constraint clauses, unit or ordinary

Data Structures

Syntax

Decision literal, e.g., [A], [B]

Constraint clause, e.g., $\neg D \vee C \leftarrow [B], [A]$

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Unit clause, e.g., $C \leftarrow [B], [A]$

“Derivation of $\neg D \vee C$
depends on [B] and [A]”

State: $M \blacksquare N$ where

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Data Structures

Syntax

Decision literal, e.g., $[A], [B]$

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“Derivation of $\neg D \vee C$
depends on $[B]$ and $[A]$ ”

Input clauses

State: $M \blacksquare N$ where

M is a set of *decision* literals

N is a set of constraint clauses, unit or ordinary

Data Structures

Syntax

Decision literal, e.g., [A], [B]

Constraint clause, e.g., $\neg D \vee C \leftarrow [B], [A]$

Ordinary clause, e.g., $\neg D \vee C \leftarrow$

Unit clause, e.g., $C \leftarrow [B], [A]$

State: $M \blacksquare N$ where

M is a set of *decision* literals

N is a set of constraint clauses, unit or ordinary

“Derivation of $\neg D \vee C$ depends on [B] and [A]”

Input clauses

By Propagate/
Backjump

Data Structures

Syntax

Decision literal, e.g., [A], [B]

Constraint clause, e.g., $\neg D \vee C \leftarrow [B], [A]$

Ordinary clause, e.g., $\neg D \vee C \leftarrow$

Unit clause, e.g., $C \leftarrow [B], [A]$

State: $M \blacksquare N$ where

M is a set of *decision* literals

≠ CDCL

N is a set of constraint clauses, unit or ordinary

“Derivation of $\neg D \vee C$ depends on [B] and [A]”

Input clauses

By Propagate/
Backjump

Data Structures

Syntax

Decision literal, e.g., [A], [B]

Constraint clause, e.g., $\neg D \vee C \leftarrow [B], [A]$

Ordinary clause, e.g., $\neg D \vee C \leftarrow$

Unit clause, e.g., $C \leftarrow [B], [A]$

State: $M \blacksquare N$ where

M is a set of *decision* literals

\neq CDCL

N is a set of constraint clauses, unit or ordinary

“Derivation of $\neg D \vee C$ depends on [B] and [A]”

Input clauses

By Propagate/
Backjump

Semantics

[C] C is true by **default**

$\neg C \leftarrow [B], [A]$ C is false if A and B are true by default, **overriding** [C]

$C \leftarrow [B], [A]$ C is true if A and B are true by default, **overriding** [C]

Inference Rules by Example

Propagate

Decide

Backjump

Fail

Inference Rules by Example

Propagate

$$A \vee \neg B \vee C \leftarrow$$

Decide

Backjump

Fail

Inference Rules by Example

Propagate

$$\begin{array}{l} \neg C \leftarrow [D] \\ A \vee \neg B \vee C \leftarrow \end{array}$$

Decide

Backjump

Fail

Inference Rules by Example

Propagate

$$A \vee \boxed{[B]} \vee \boxed{\neg C} \leftarrow [D]$$
$$A \vee \boxed{\neg B} \vee \boxed{C} \leftarrow$$

Decide

Backjump

Fail

Inference Rules by Example

Propagate

$$\begin{array}{c} [B] \quad \neg C \leftarrow [D] \\ A \vee \neg B \vee C \leftarrow \\ \hline A \leftarrow [B], [D] \end{array}$$

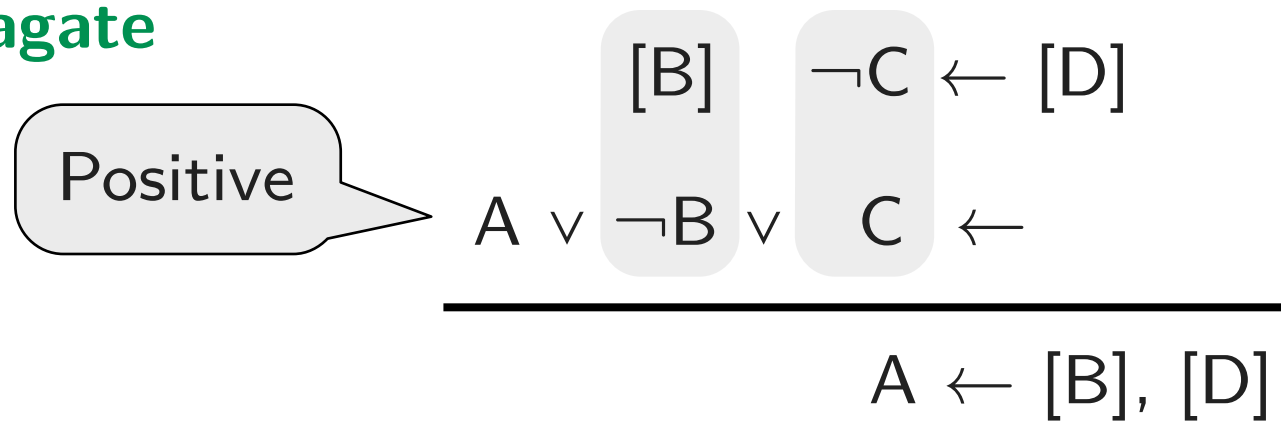
Decide

Backjump

Fail

Inference Rules by Example

Propagate



Decide

Backjump

Fail

Inference Rules by Example

Propagate

Positive

$$\frac{A \vee \overset{[B]}{\neg B} \vee \overset{\neg C \leftarrow [D]}{C} \leftarrow}{A \leftarrow [B], [D]} \quad \text{if } A \succ \neg B \vee C$$

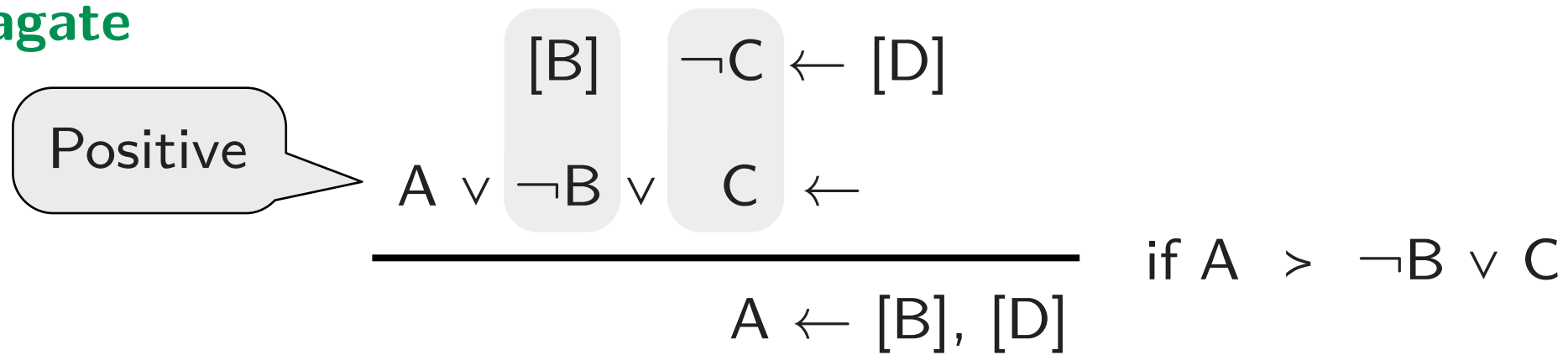
Decide

Backjump

Fail

Inference Rules by Example

Propagate



Decide

$$\neg E \vee A \vee \neg B \vee C \leftarrow$$

Backjump

Fail

Inference Rules by Example

Propagate

Positive

$$\frac{A \vee \overset{[B]}{\neg B} \vee \overset{\neg C \leftarrow [D]}{C} \leftarrow}{A \leftarrow [B], [D]} \quad \text{if } A \succ \neg B \vee C$$

Decide

$$\neg E \vee A \vee \neg B \vee \overset{\neg C \leftarrow [D]}{C} \leftarrow$$

Backjump

Fail

Inference Rules by Example

Propagate

Positive

$$\frac{A \vee \overset{[B]}{\neg B} \vee \overset{\neg C \leftarrow [D]}{C} \leftarrow}{A \leftarrow [B], [D]} \quad \text{if } A \succ \neg B \vee C$$

Decide

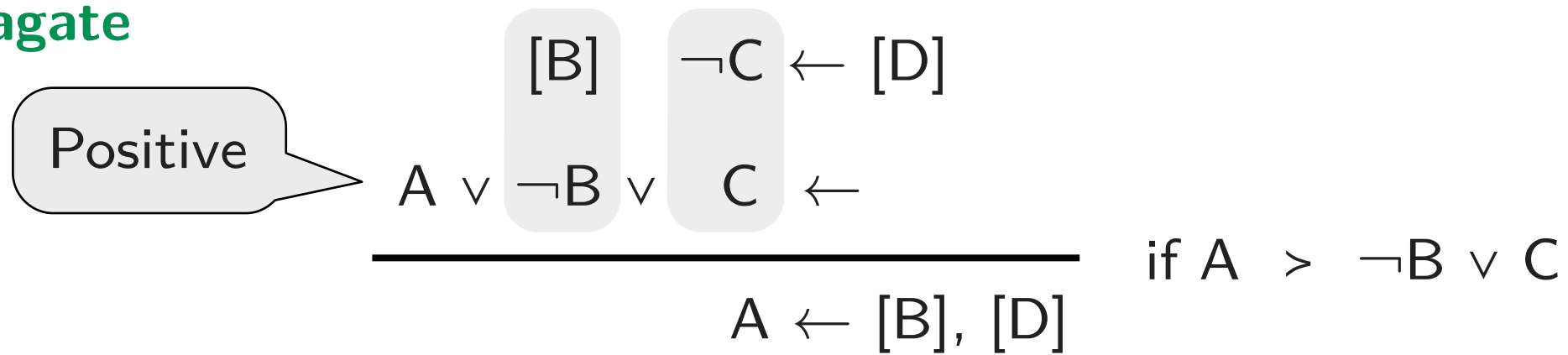
$$\neg E \vee A \vee \overset{[B]}{\neg B} \vee \overset{\neg C \leftarrow [D]}{C} \leftarrow$$

Backjump

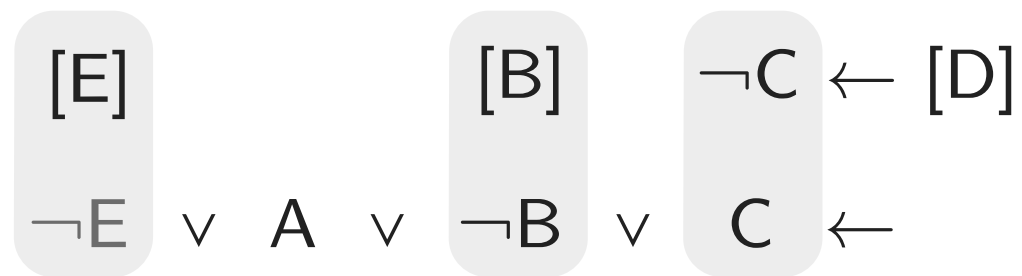
Fail

Inference Rules by Example

Propagate



Decide

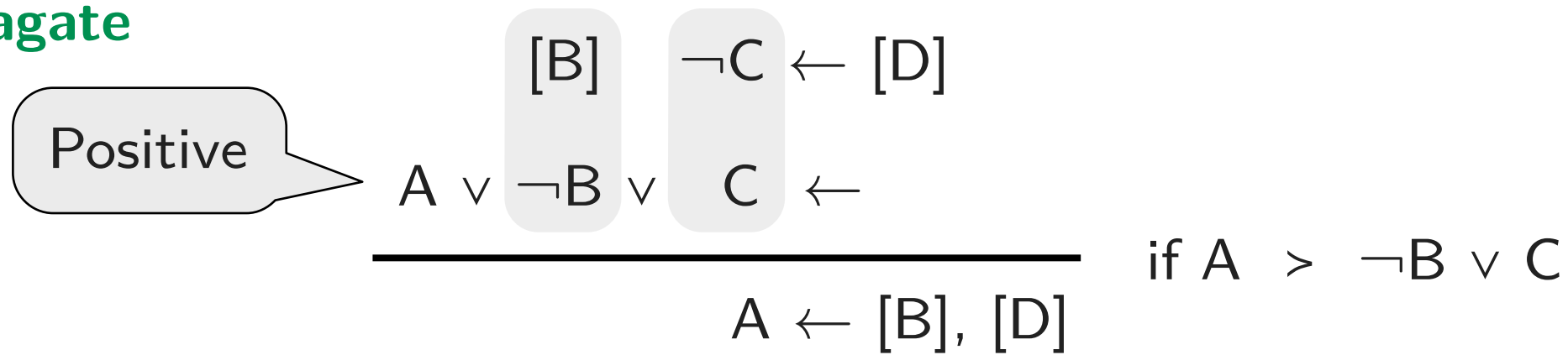


Backjump

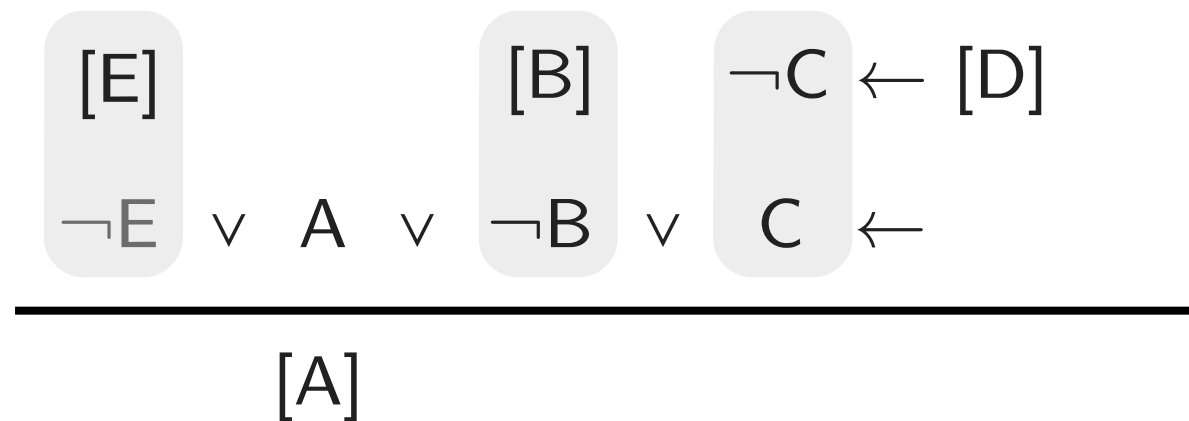
Fail

Inference Rules by Example

Propagate



Decide

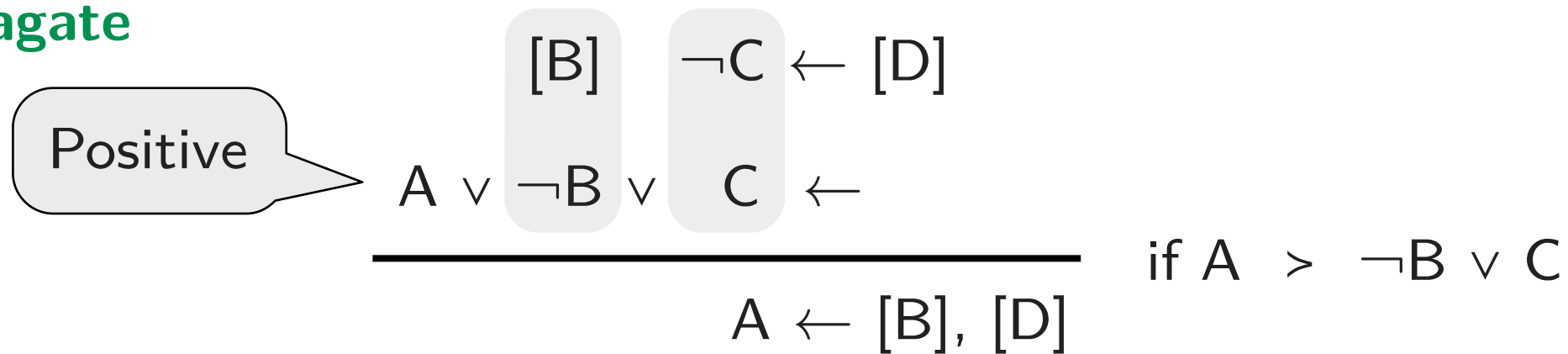


Backjump

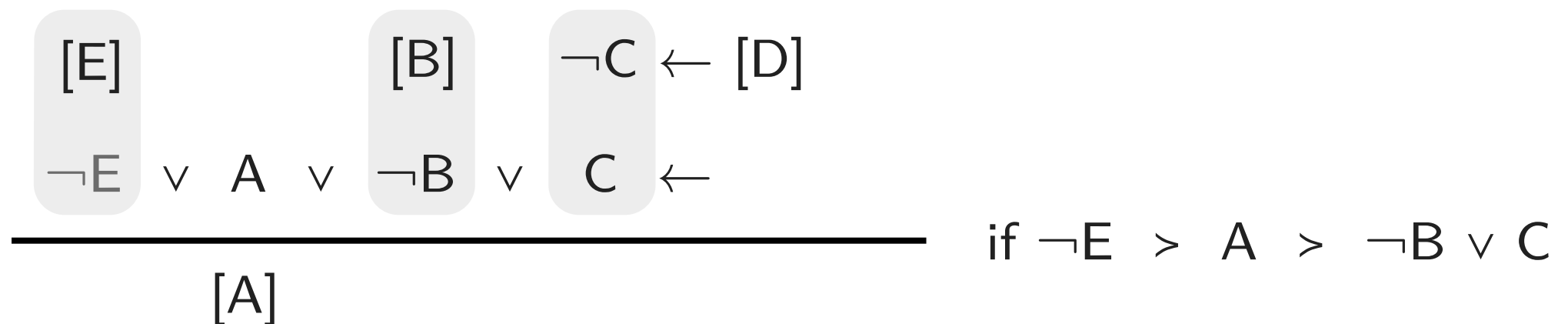
Fail

Inference Rules by Example

Propagate



Decide

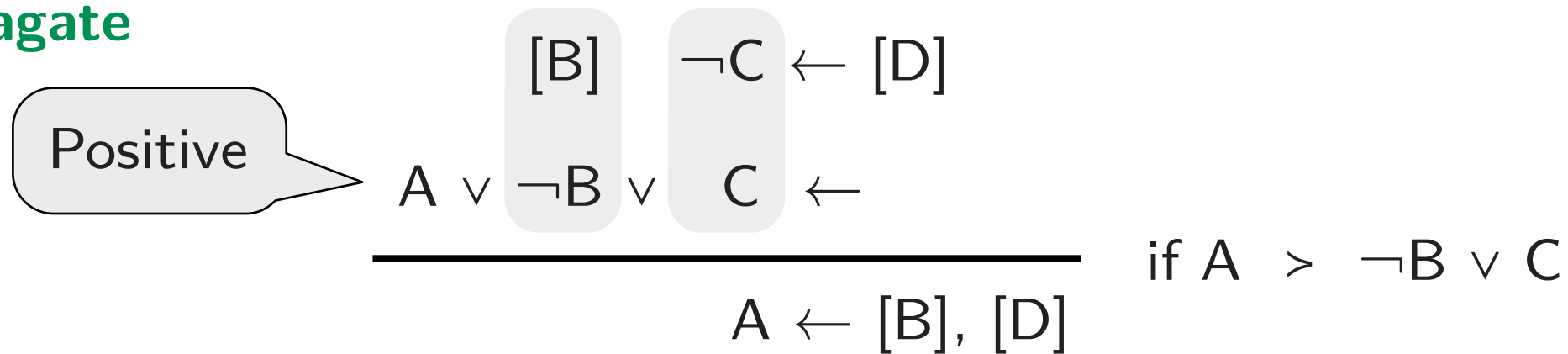


Backjump

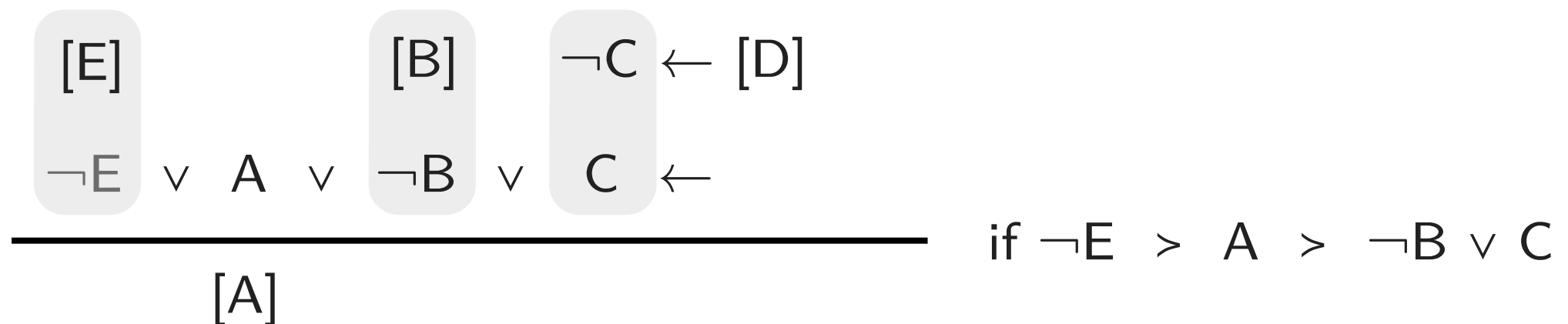
Fail

Inference Rules by Example

Propagate



Decide



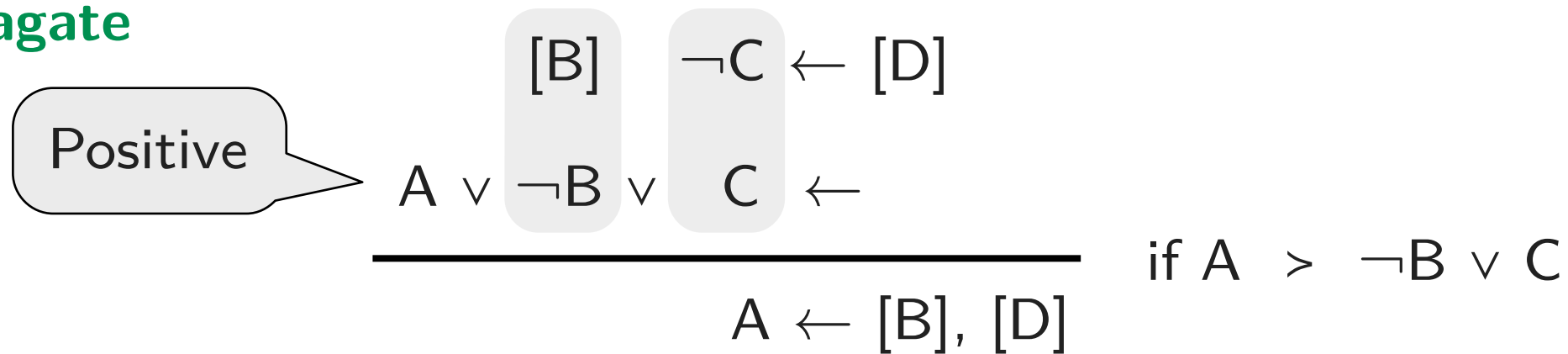
Backjump

$\neg E \vee A \vee \neg B \vee C \leftarrow$

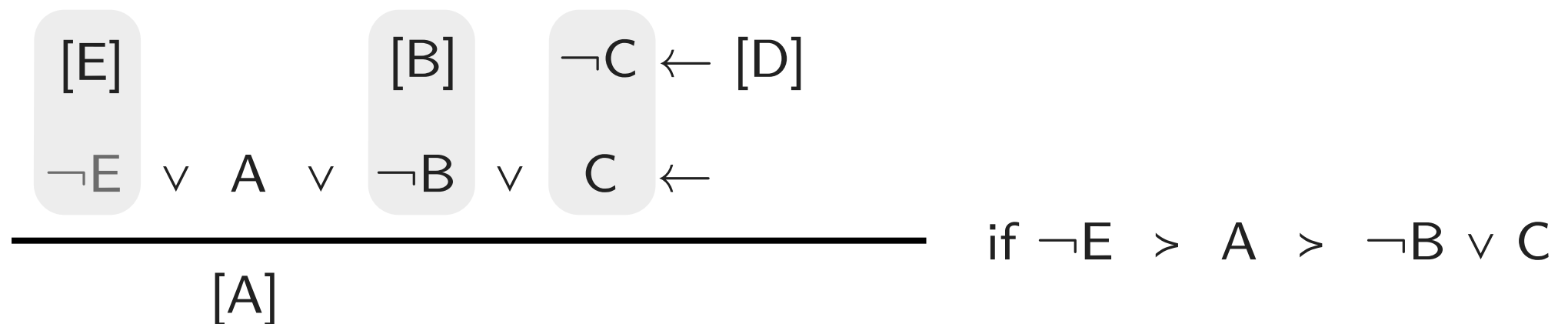
Fail

Inference Rules by Example

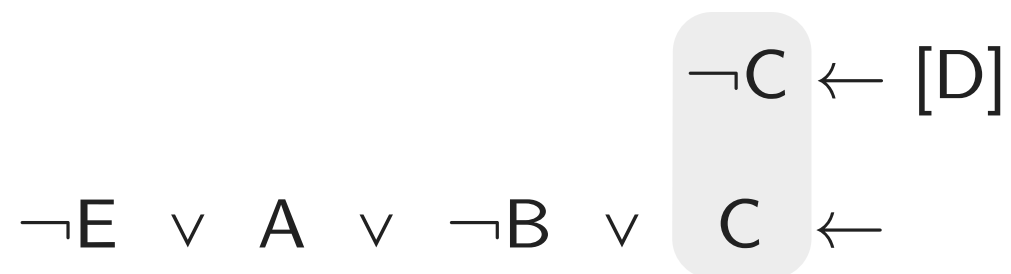
Propagate



Decide



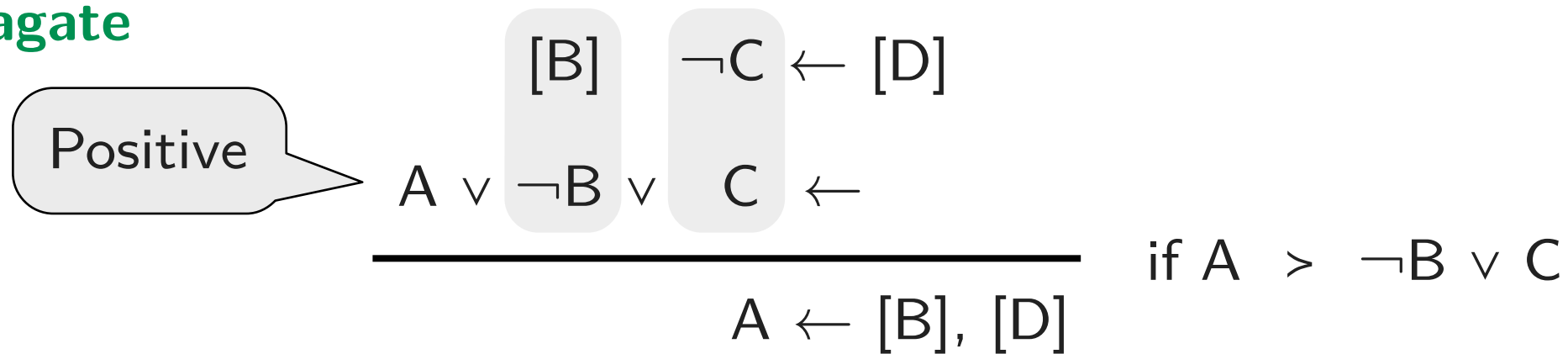
Backjump



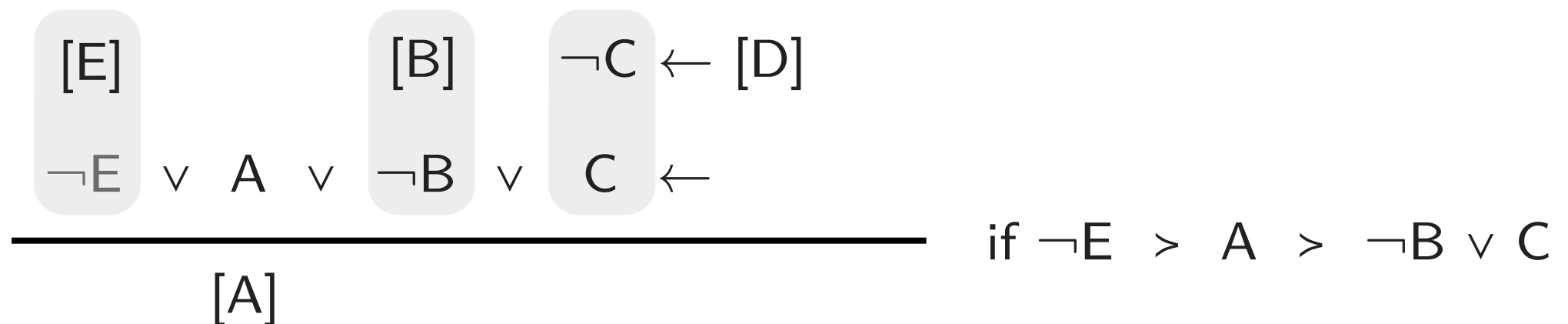
Fail

Inference Rules by Example

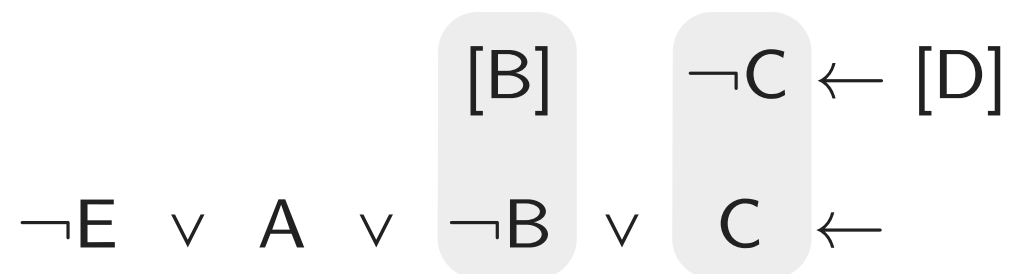
Propagate



Decide



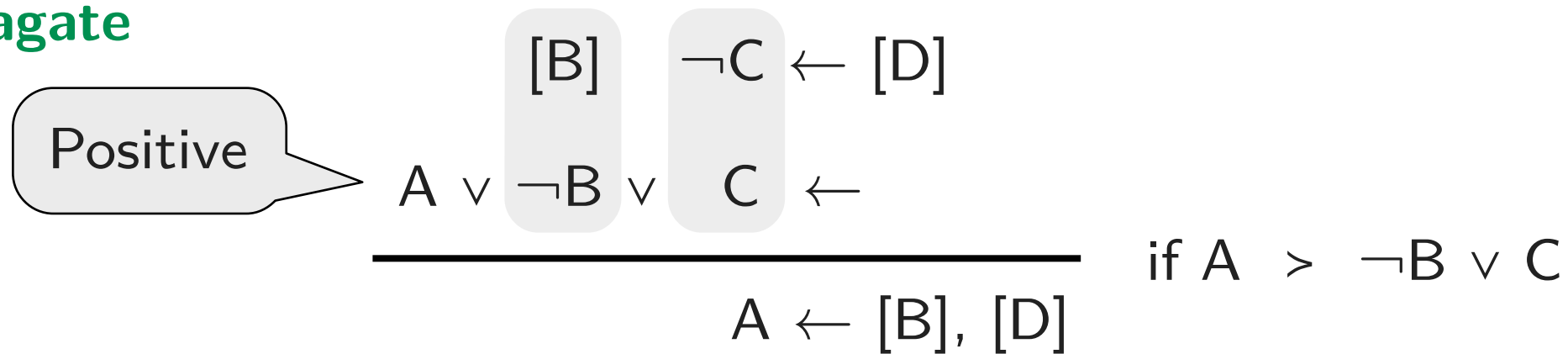
Backjump



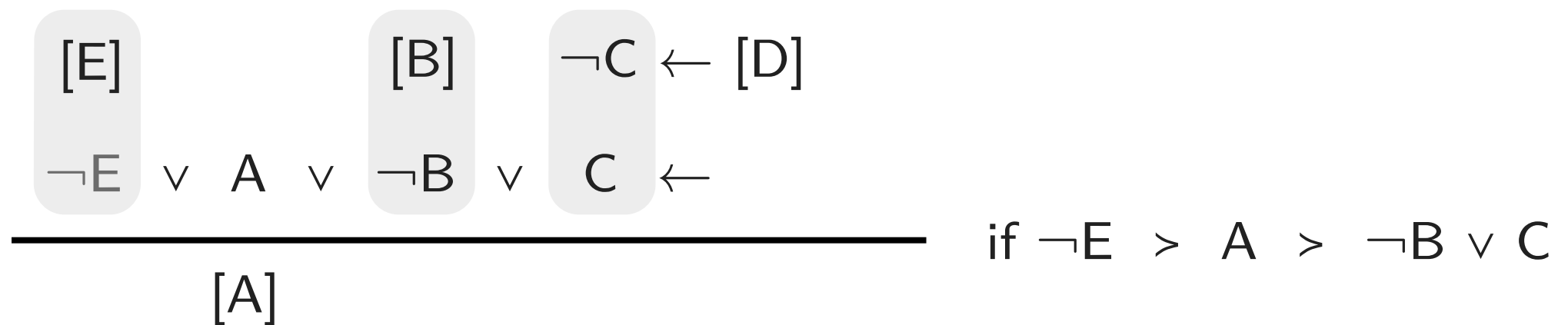
Fail

Inference Rules by Example

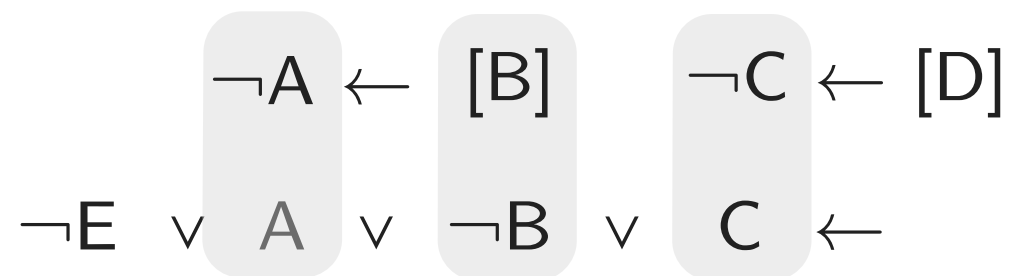
Propagate



Decide



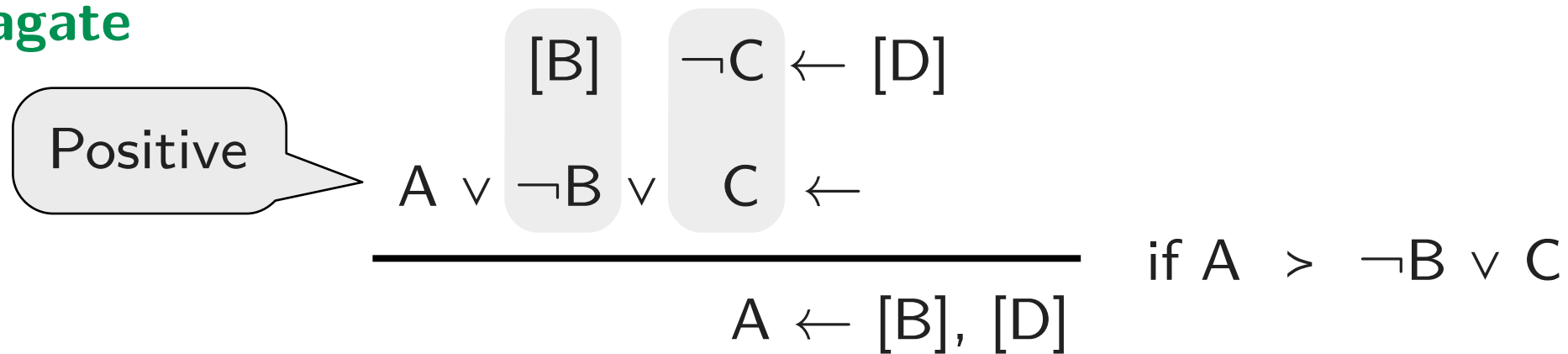
Backjump



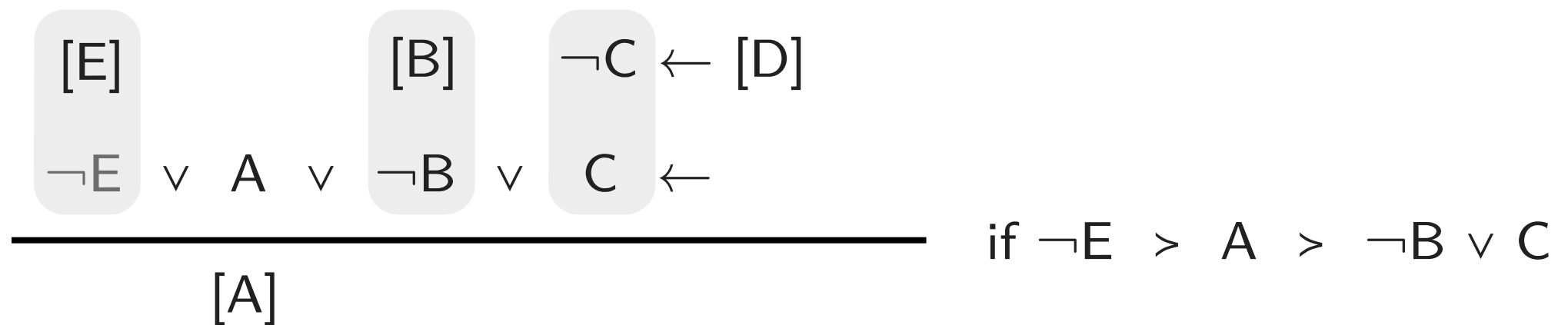
Fail

Inference Rules by Example

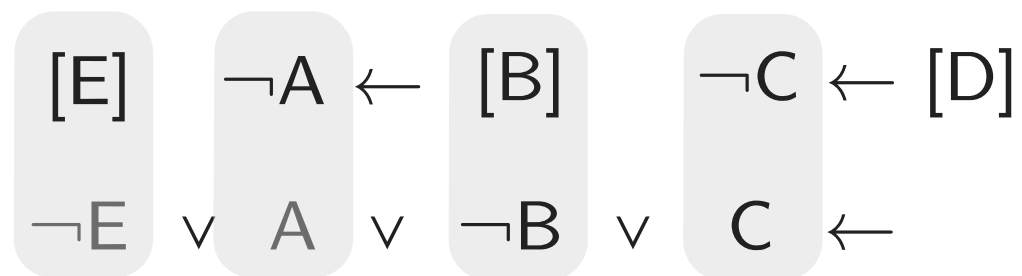
Propagate



Decide



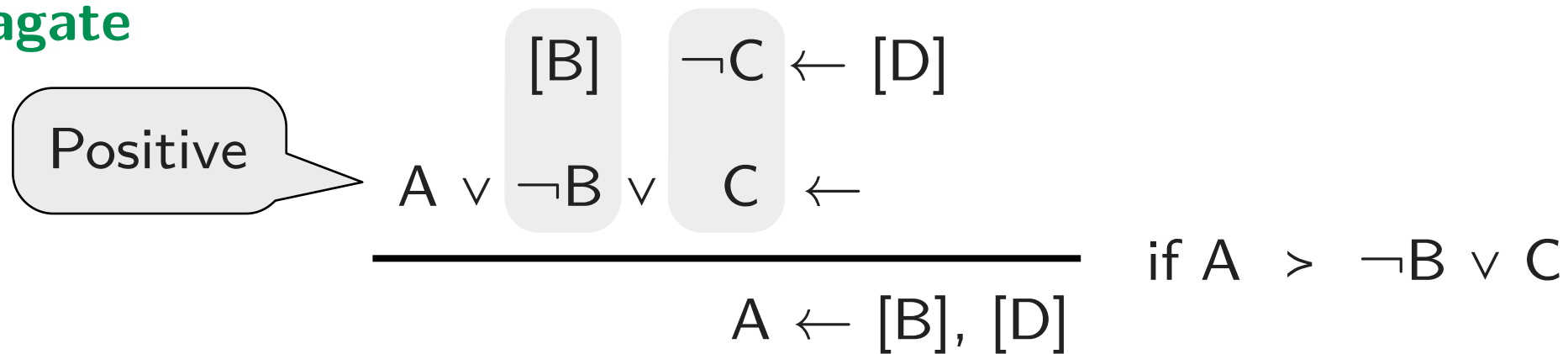
Backjump



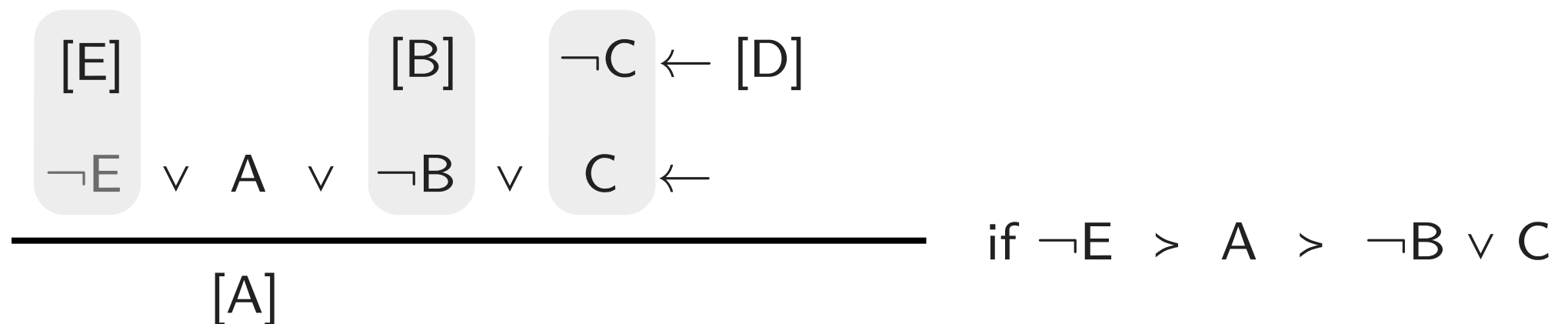
Fail

Inference Rules by Example

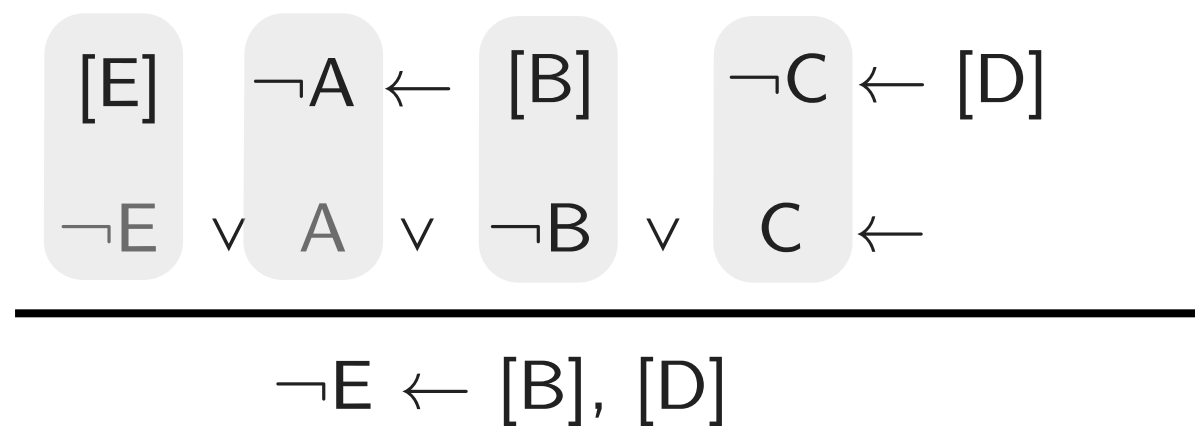
Propagate



Decide



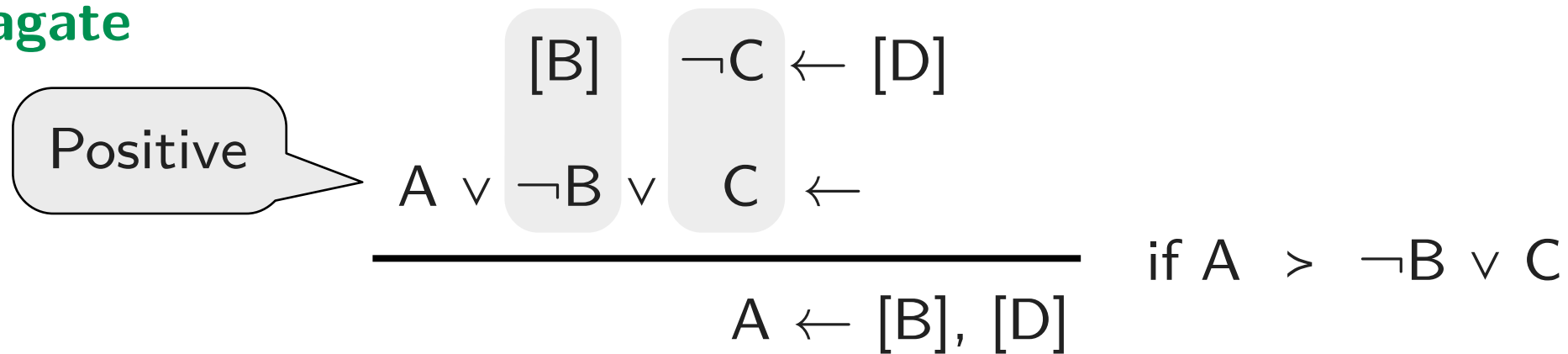
Backjump



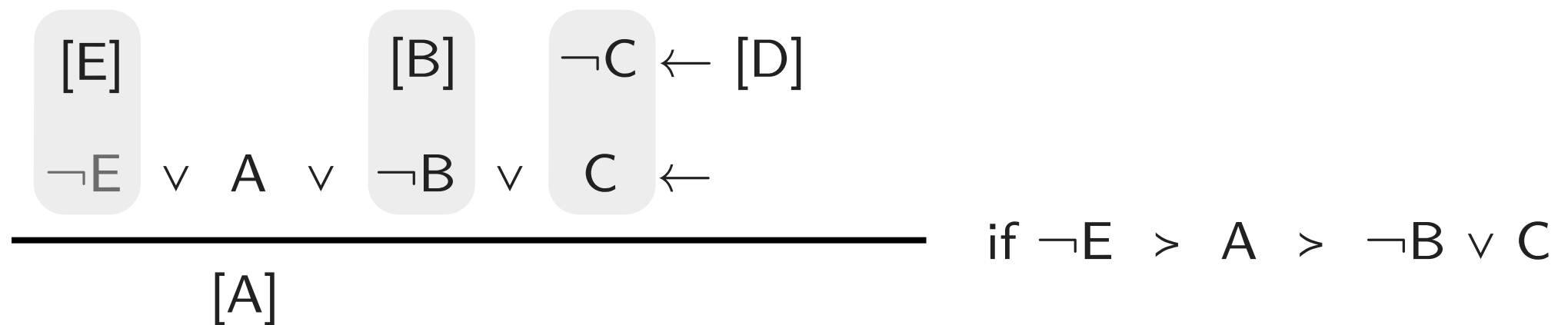
Fail

Inference Rules by Example

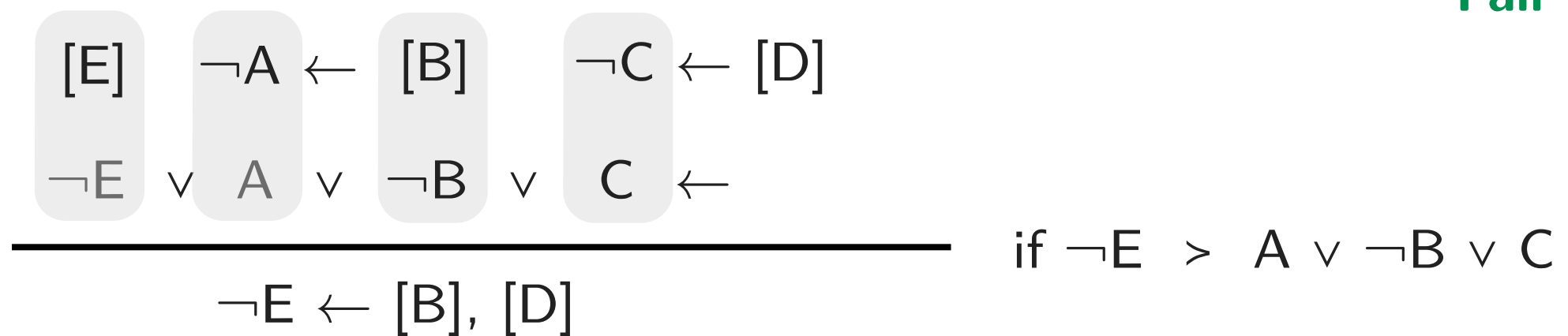
Propagate



Decide

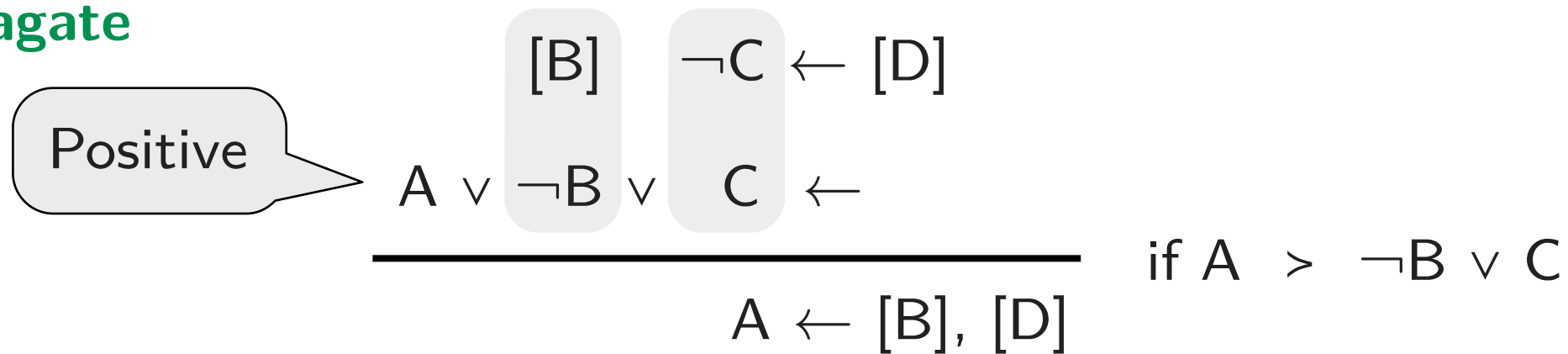


Backjump

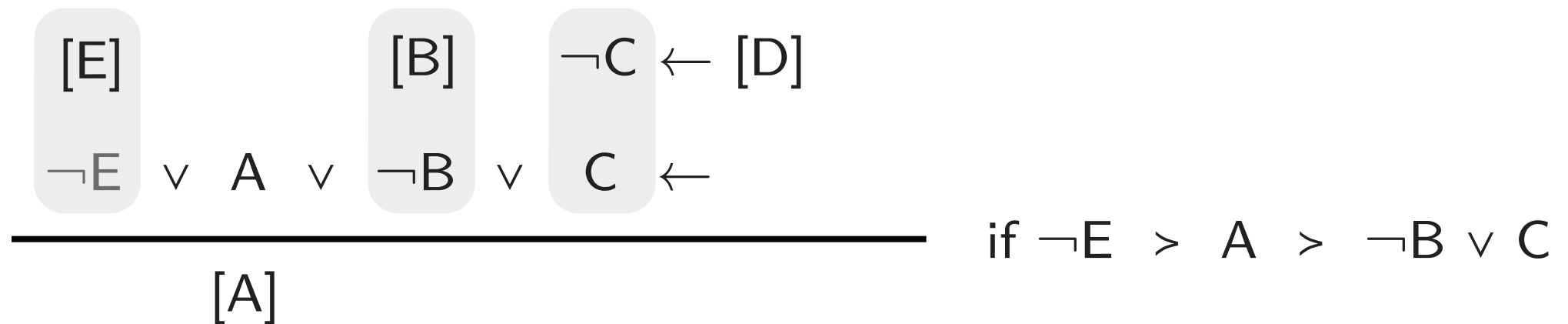


Inference Rules by Example

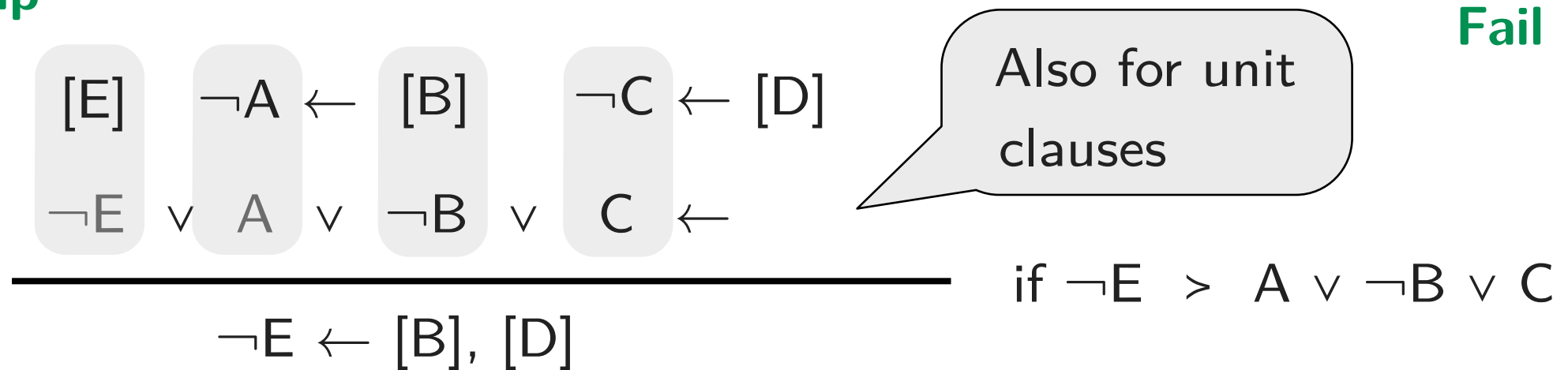
Propagate



Decide

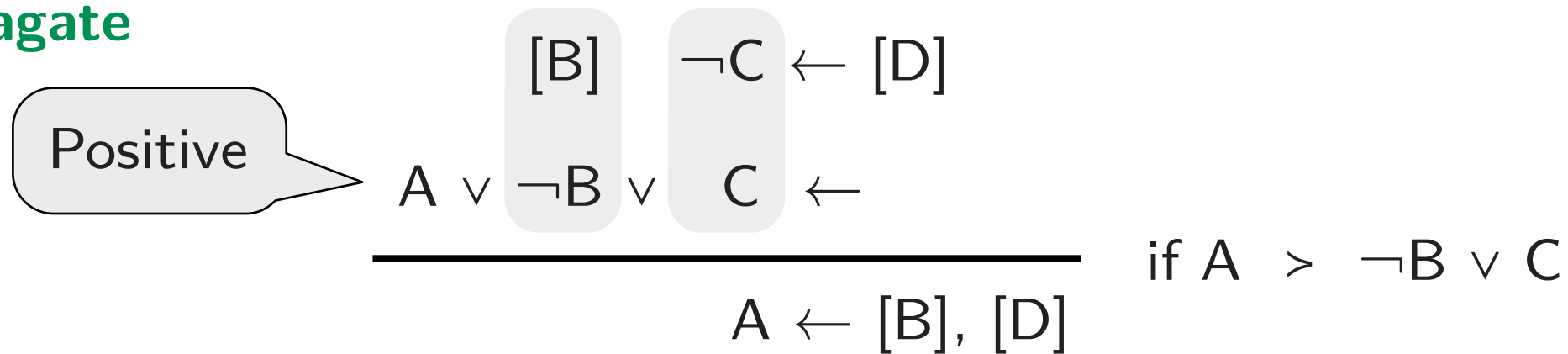


Backjump

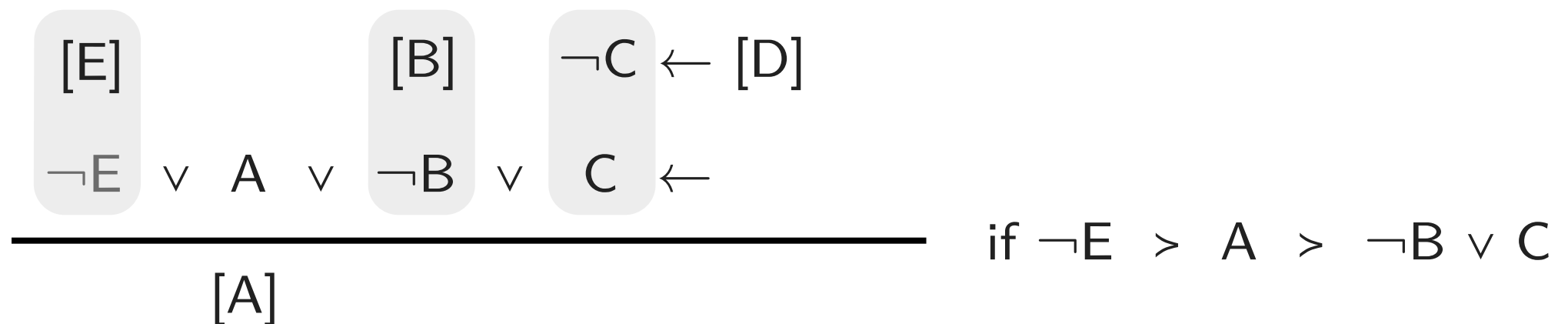


Inference Rules by Example

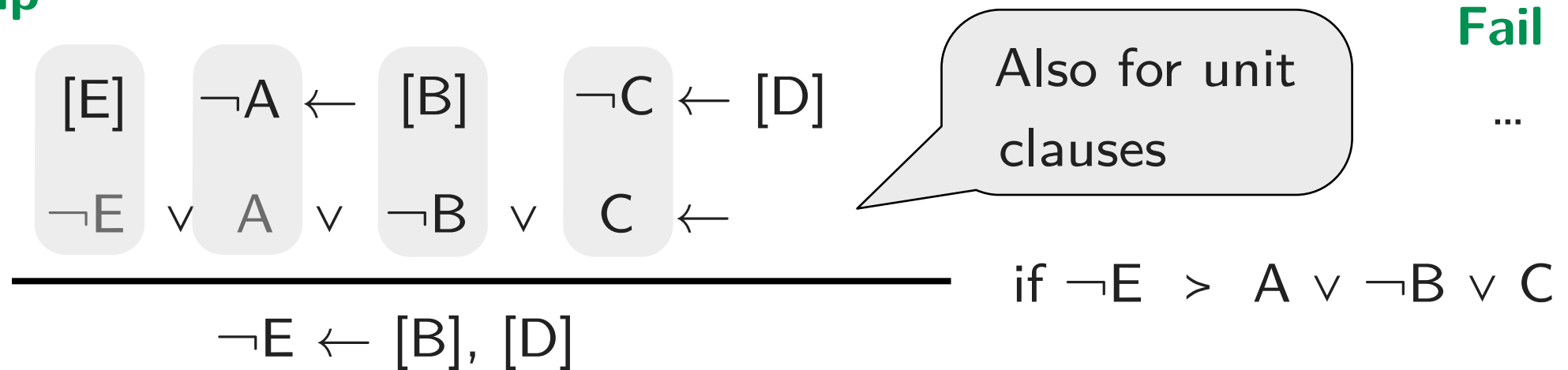
Propagate



Decide

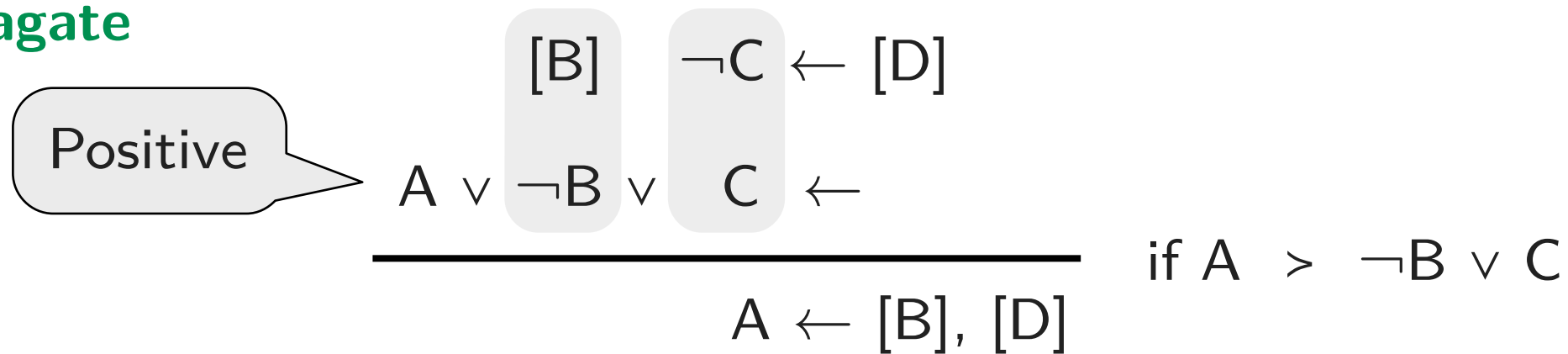


Backjump

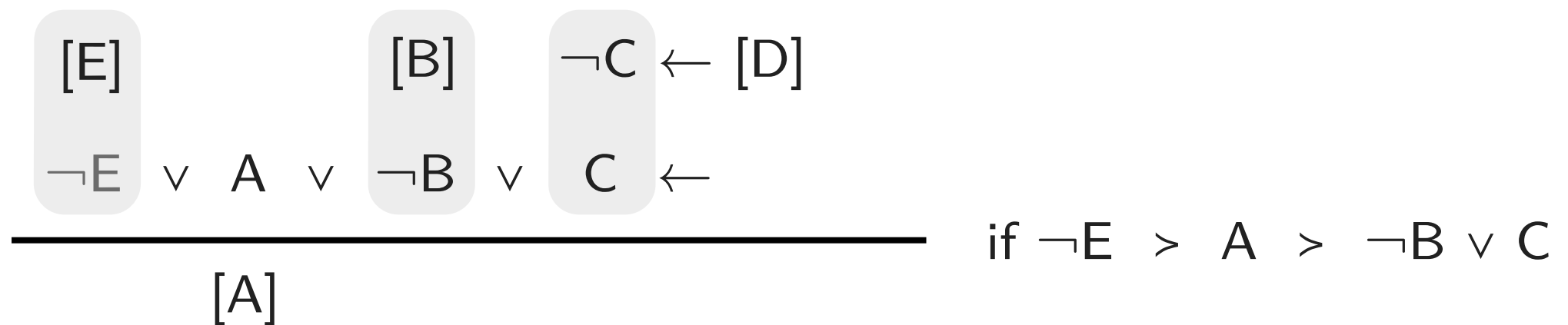


Inference Rules by Example

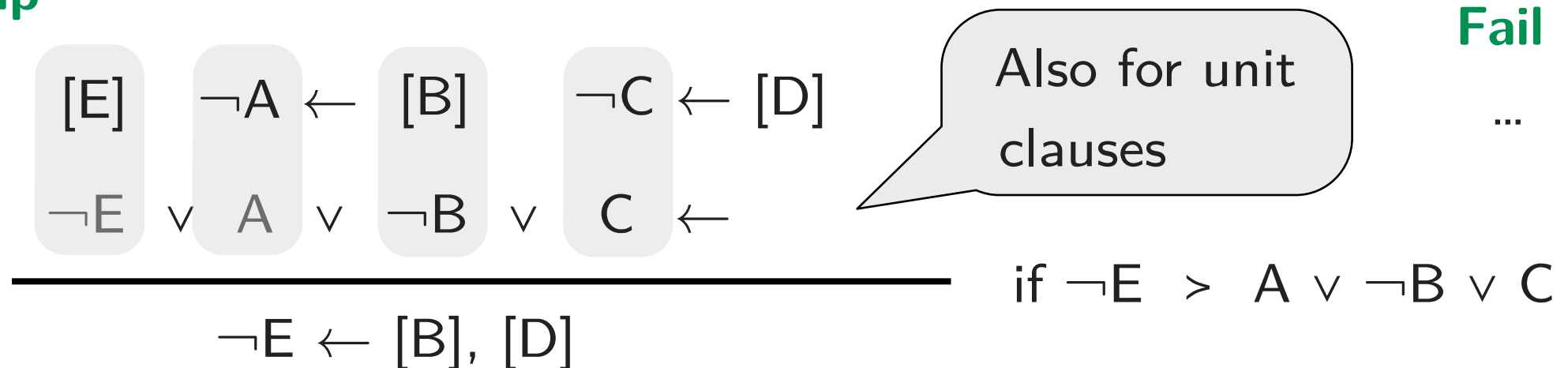
Propagate



Decide



Backjump



Invariant: clauses $head \leftarrow body$ are ordered: $\min(head) > \max(body)$

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

[D] (Decide)

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

[D] (Decide)

[E] (Decide)

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

$$[D] \quad (\text{Decide})$$

$$[E] \quad (\text{Decide})$$

$$[A] \quad (\text{Decide})$$

$$B \leftarrow [A] \quad (9 \text{ by } 2, [A])$$

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

$B \leftarrow [A]$ (9 by 2,[A])

$C \leftarrow [A]$ (10 by 3,9)

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

$B \leftarrow [A]$ (9 by 2,[A])

$C \leftarrow [A]$ (10 by 3,9)

(conflict 4,10,[D],[A])

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

$B \leftarrow [A]$ (9 by 2,[A])

$C \leftarrow [A]$ (10 by 3,9)

(conflict 4,10,[D],[A])

$\neg A \leftarrow [D]$ (11 by Backjump)

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow (0)$$

$$G \vee E \vee \neg D \leftarrow (1)$$

$$B \vee \neg A \leftarrow (2)$$

$$C \vee \neg B \leftarrow (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow (4)$$

$$\neg C \vee A \leftarrow (5)$$

$$C \vee A \leftarrow (6)$$

$$D \vee \neg F \leftarrow (7)$$

[D] (Decide)

[E] (Decide)

[A] (Decide)

$B \leftarrow [A]$ (9 by 2,[A])

$C \leftarrow [A]$ (10 by 3,9)

(conflict 4,10,[D],[A])

$\neg A \leftarrow [D]$ (11 by Backjump)

[A] overridden by $\neg A \leftarrow [D]$ and [D]

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

$$[D] \quad (\text{Decide})$$

$$[E] \quad (\text{Decide})$$

$$[A] \quad (\text{Decide})$$

$$B \leftarrow [A] \quad (9 \text{ by } 2, [A])$$

$$C \leftarrow [A] \quad (10 \text{ by } 3, 9)$$

(conflict 4, 10, [D], [A])

$$\neg A \leftarrow [D] \quad (11 \text{ by Backjump})$$

$$C \leftarrow [D] \quad (12 \text{ by } 6, 11)$$

[A] overridden by $\neg A \leftarrow [D]$ and [D]

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

$$[D] \quad (\text{Decide})$$

$$[E] \quad (\text{Decide})$$

$$[A] \quad (\text{Decide})$$

$$B \leftarrow [A] \quad (9 \text{ by } 2, [A])$$

$$C \leftarrow [A] \quad (10 \text{ by } 3, 9)$$

(conflict 4,10,[D],[A])

$$\neg A \leftarrow [D] \quad (11 \text{ by Backjump})$$

$$C \leftarrow [D] \quad (12 \text{ by } 6, 11)$$

(conflict 5,11,12)

[A] overridden by $\neg A \leftarrow [D]$ and [D]

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

$$[D] \quad (\text{Decide})$$

$$[E] \quad (\text{Decide})$$

$$[A] \quad (\text{Decide})$$

$$B \leftarrow [A] \quad (9 \text{ by } 2, [A])$$

$$C \leftarrow [A] \quad (10 \text{ by } 3, 9)$$

(conflict 4,10,[D],[A])

$$\neg A \leftarrow [D] \quad (11 \text{ by Backjump})$$

$$C \leftarrow [D] \quad (12 \text{ by } 6, 11)$$

(conflict 5,11,12)

$$\neg D \leftarrow \quad (13 \text{ by Backjump})$$

[A] overridden by $\neg A \leftarrow [D]$ and [D]

Example CDCL-as-Saturation Derivation

$$F \vee D \leftarrow \quad (0)$$

$$G \vee E \vee \neg D \leftarrow \quad (1)$$

$$B \vee \neg A \leftarrow \quad (2)$$

$$C \vee \neg B \leftarrow \quad (3)$$

$$\neg D \vee \neg C \vee \neg A \leftarrow \quad (4)$$

$$\neg C \vee A \leftarrow \quad (5)$$

$$C \vee A \leftarrow \quad (6)$$

$$D \vee \neg F \leftarrow \quad (7)$$

$$[D] \quad (\text{Decide})$$

$$[E] \quad (\text{Decide})$$

$$[A] \quad (\text{Decide})$$

$$B \leftarrow [A] \quad (9 \text{ by } 2, [A])$$

$$C \leftarrow [A] \quad (10 \text{ by } 3, 9)$$

(conflict 4,10,[D],[A])

$$\neg A \leftarrow [D] \quad (11 \text{ by Backjump})$$

$$C \leftarrow [D] \quad (12 \text{ by } 6, 11)$$

(conflict 5,11,12)

$$\neg D \leftarrow \quad (13 \text{ by Backjump})$$

[D] overridden by $\neg D \leftarrow$

[A] no longer overridden

by $\neg A \leftarrow [D]$ and [D]

[A] overridden by $\neg A \leftarrow [D]$ and [D]

Example CDCL-as-Saturation Derivation

$F \vee D \leftarrow$ (0)

$G \vee E \vee \neg D \leftarrow$ (1)

$B \vee \neg A \leftarrow$ (2)

$C \vee \neg B \leftarrow$ (3)

$\neg D \vee \neg C \vee \neg A \leftarrow$ (4)

$\neg C \vee A \leftarrow$ (5)

$C \vee A \leftarrow$ (6)

$D \vee \neg F \leftarrow$ (7)

[D] (Decide)

[E] (Decide)

[A] (Decide)

$B \leftarrow [A]$ (9 by 2,[A])

$C \leftarrow [A]$ (10 by 3,9)

(conflict 4,10,[D],[A])

$\neg A \leftarrow [D]$ (11 by Backjump)

$C \leftarrow [D]$ (12 by 6,11)

(conflict 5,11,12)

$\neg D \leftarrow$ (13 by Backjump)

[D] overridden by $\neg D \leftarrow$

[A] no longer overridden

by $\neg A \leftarrow [D]$ and [D]

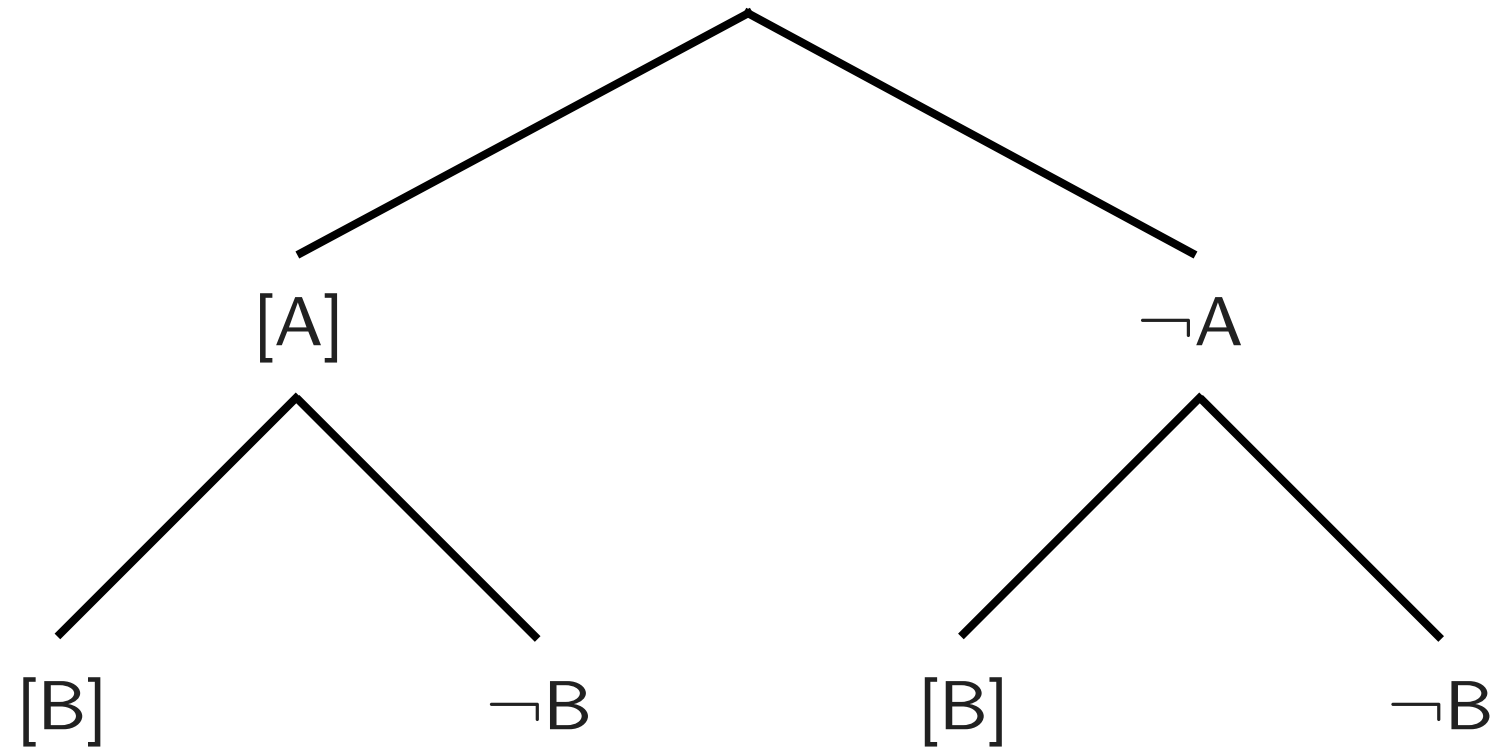
[A] overridden by $\neg A \leftarrow [D]$ and [D]

$F \leftarrow$ (14 by 0,13)

$\square \leftarrow$ (FAIL 7)

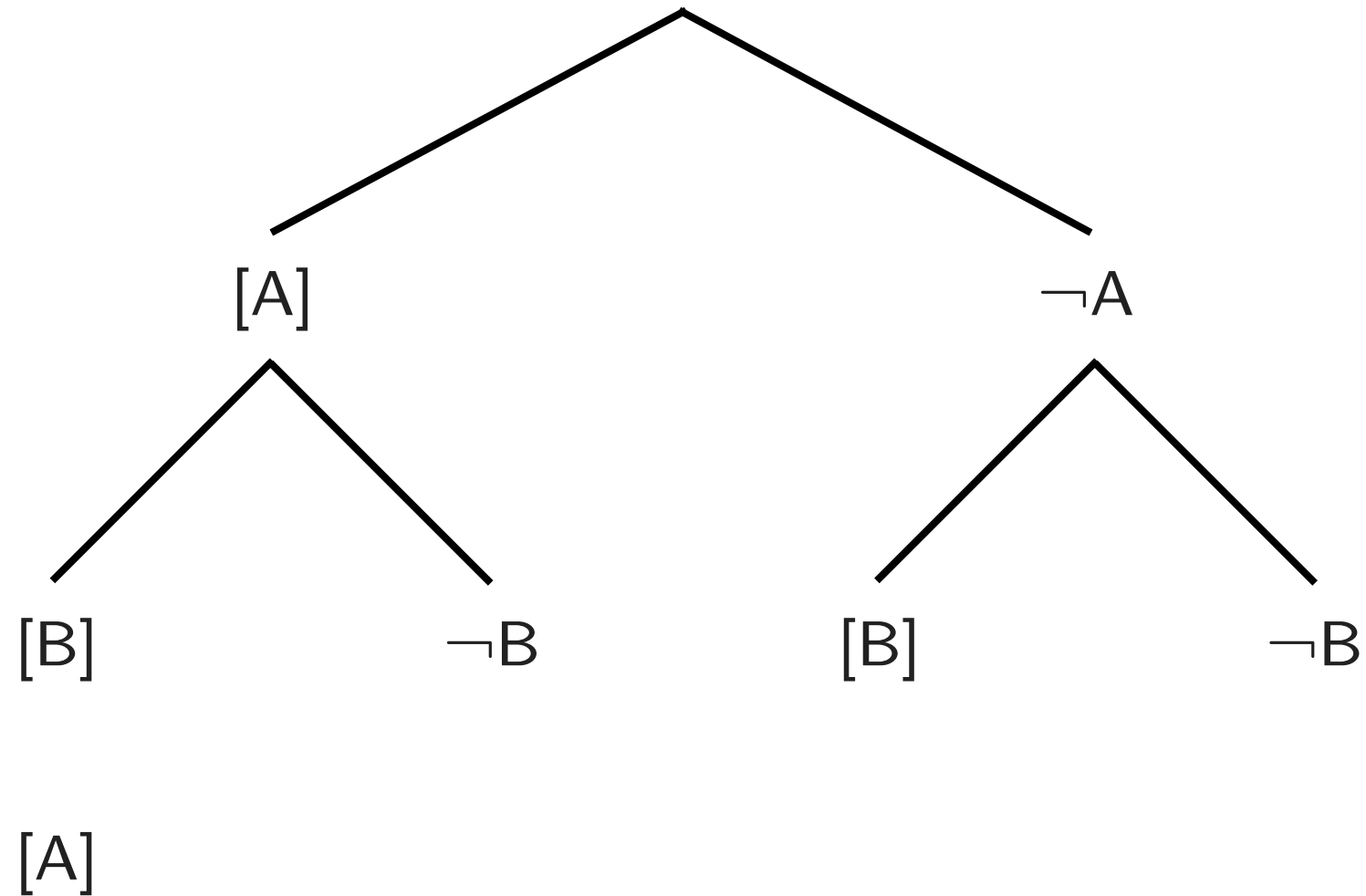
Overriding

Switching interpretations by increasing clause sets



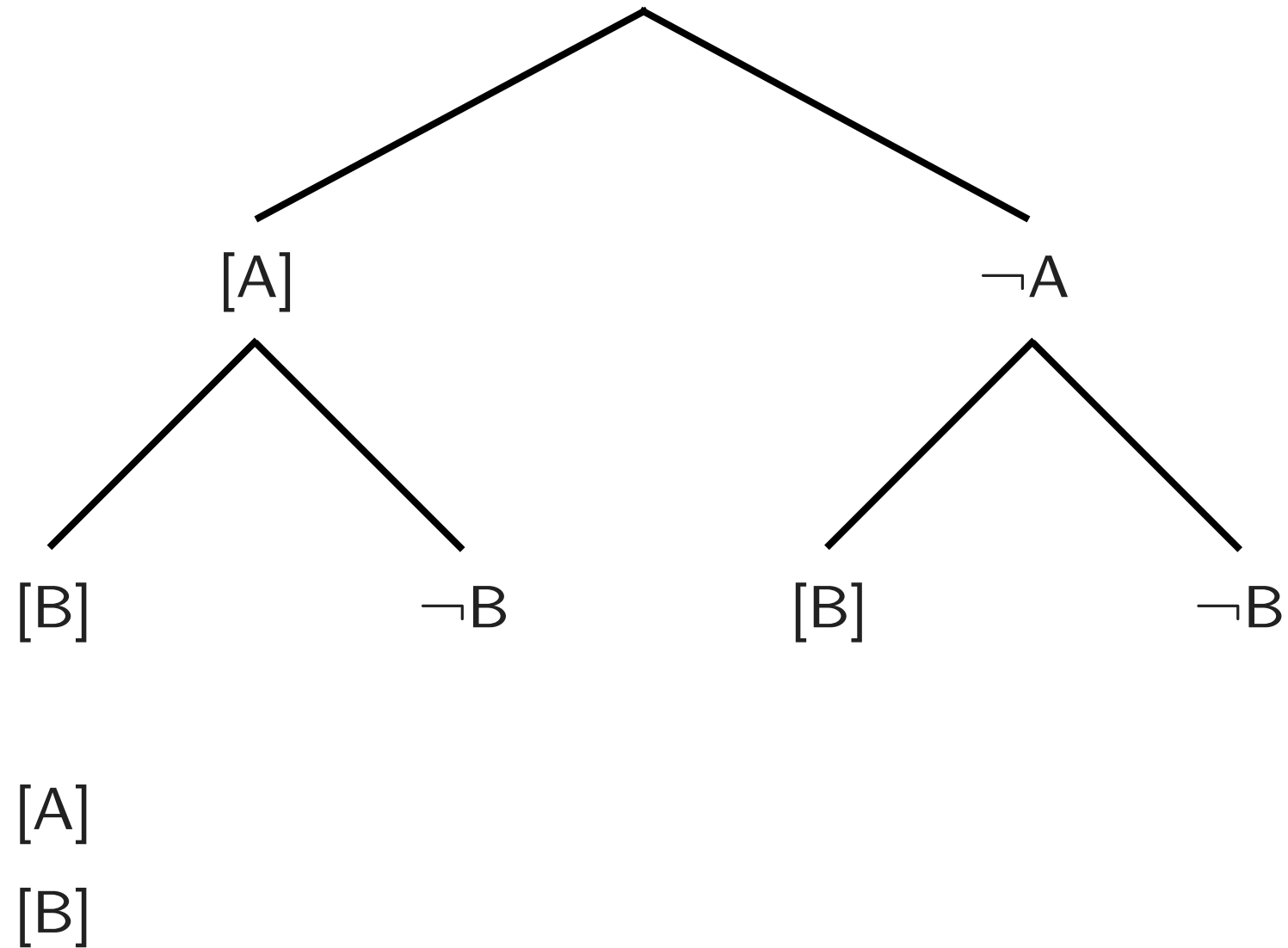
Overriding

Switching interpretations by increasing clause sets



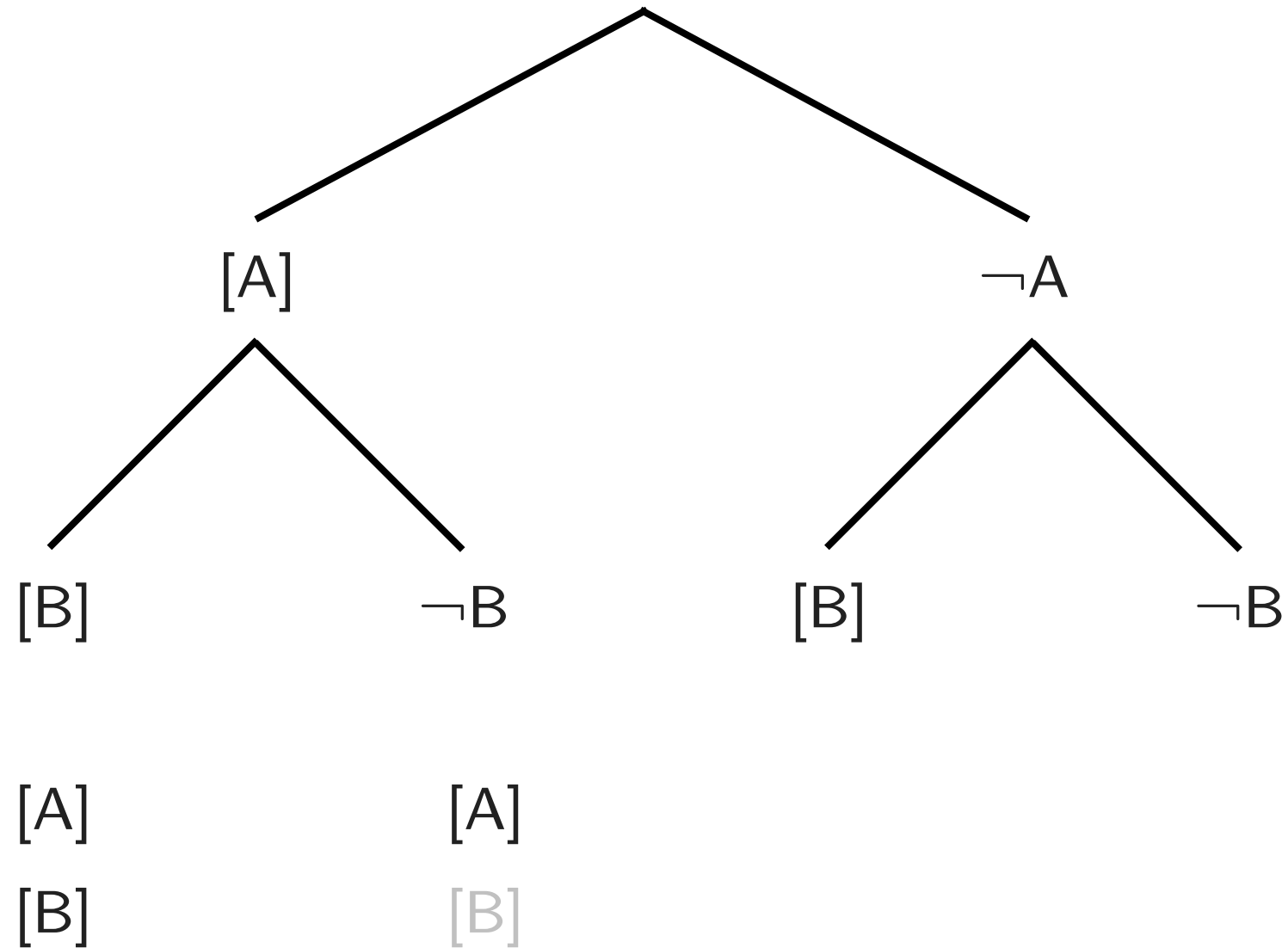
Overriding

Switching interpretations by increasing clause sets



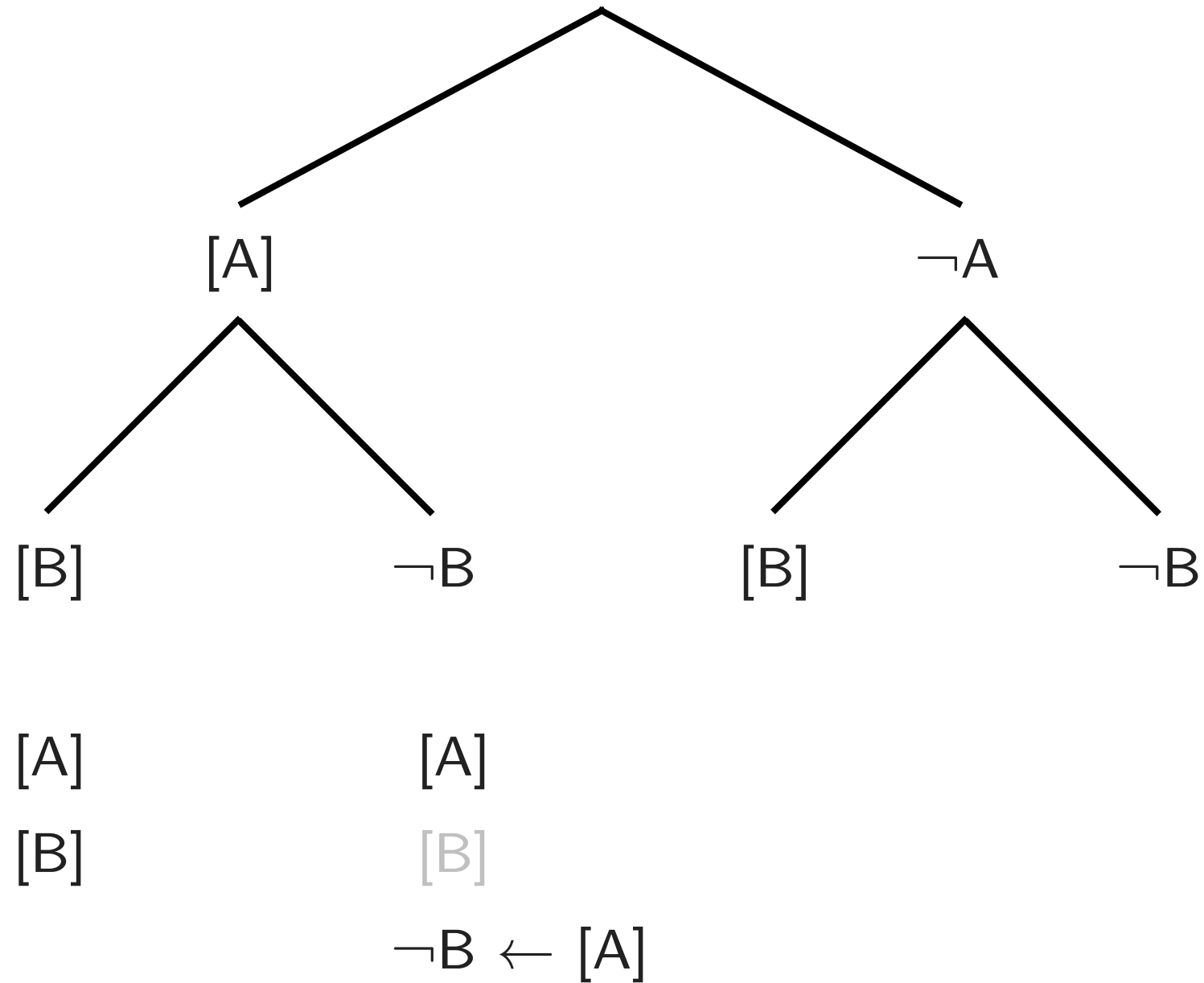
Overriding

Switching interpretations by increasing clause sets



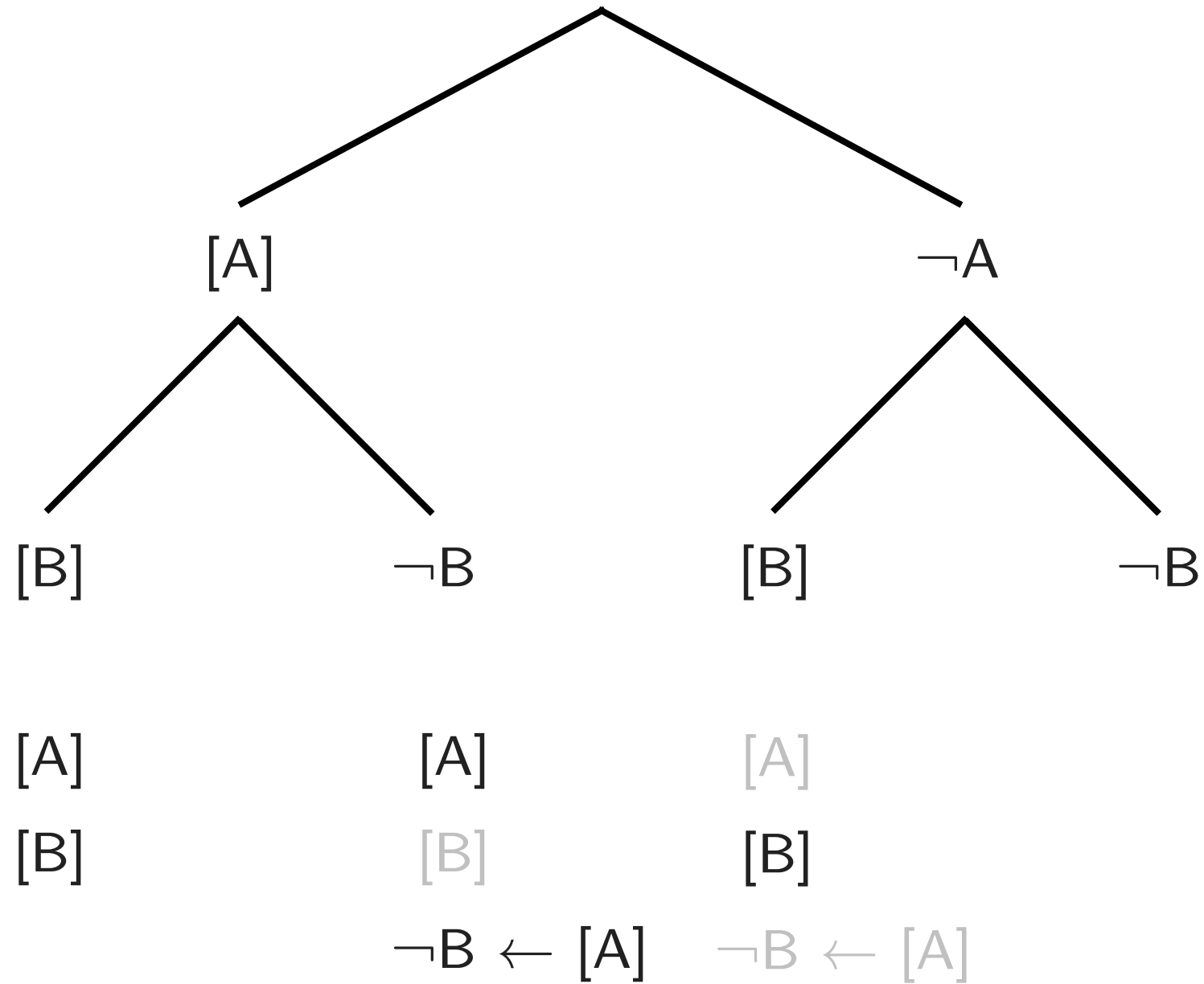
Overriding

Switching interpretations by increasing clause sets



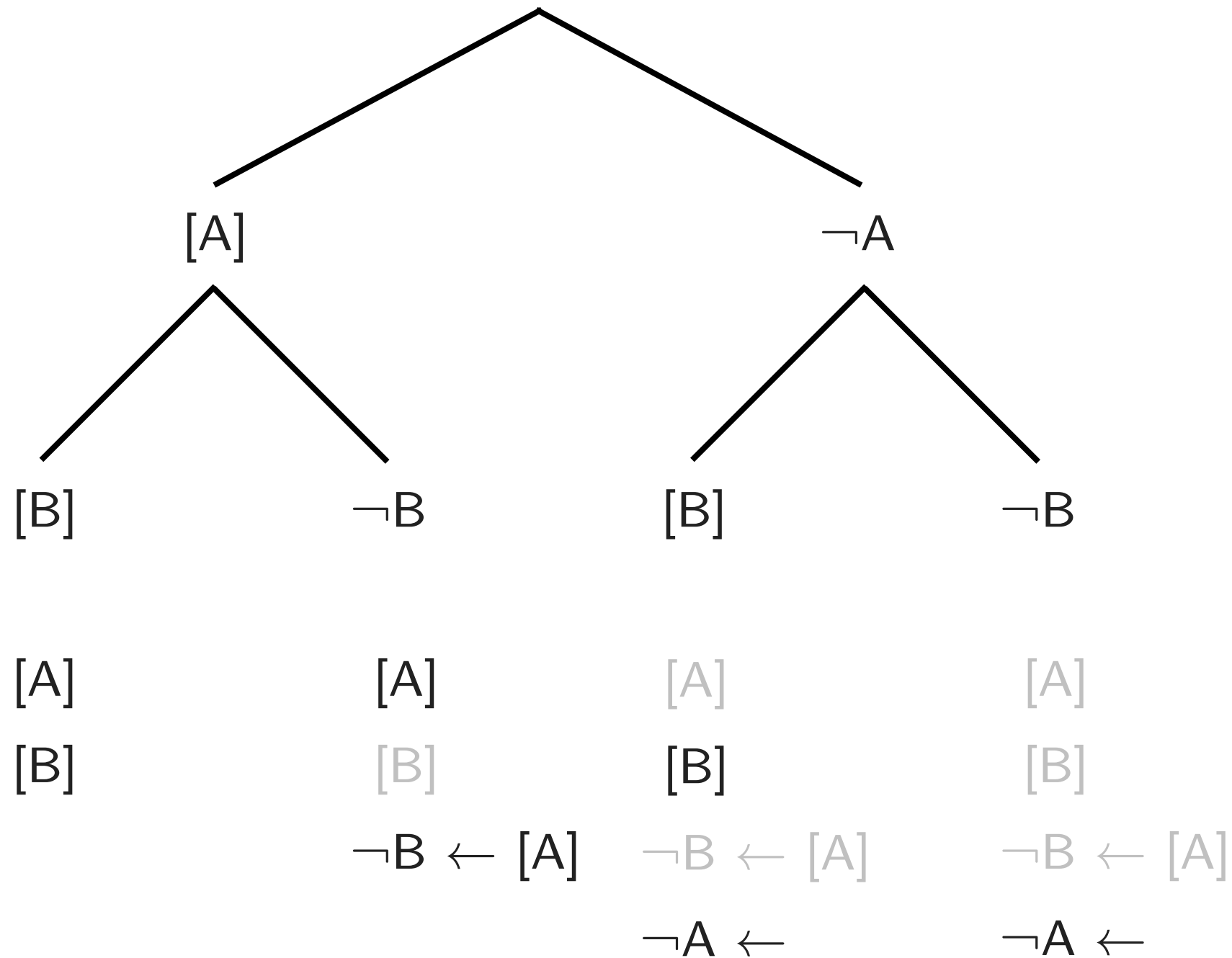
Overriding

Switching interpretations by increasing clause sets



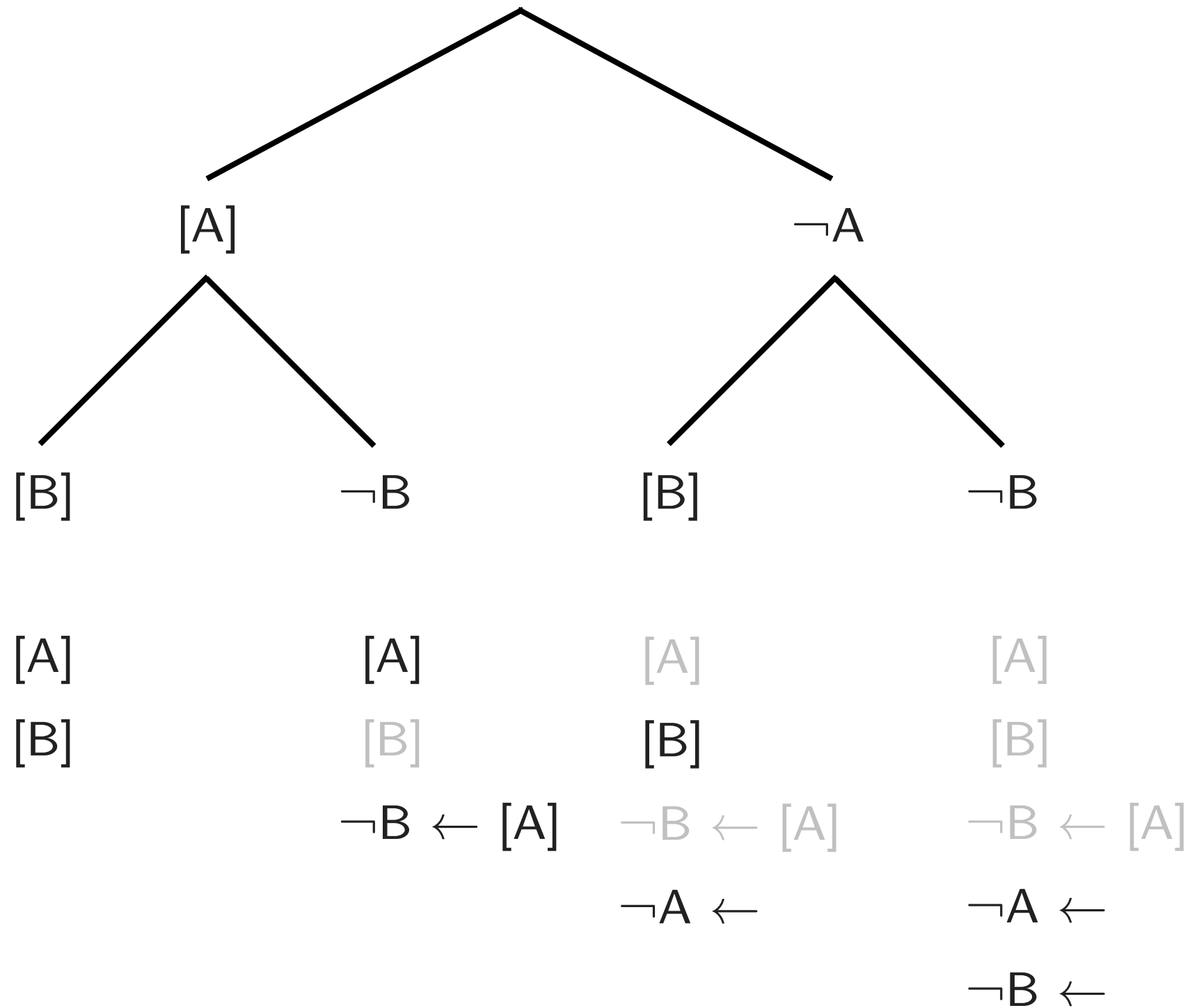
Overriding

Switching interpretations by increasing clause sets



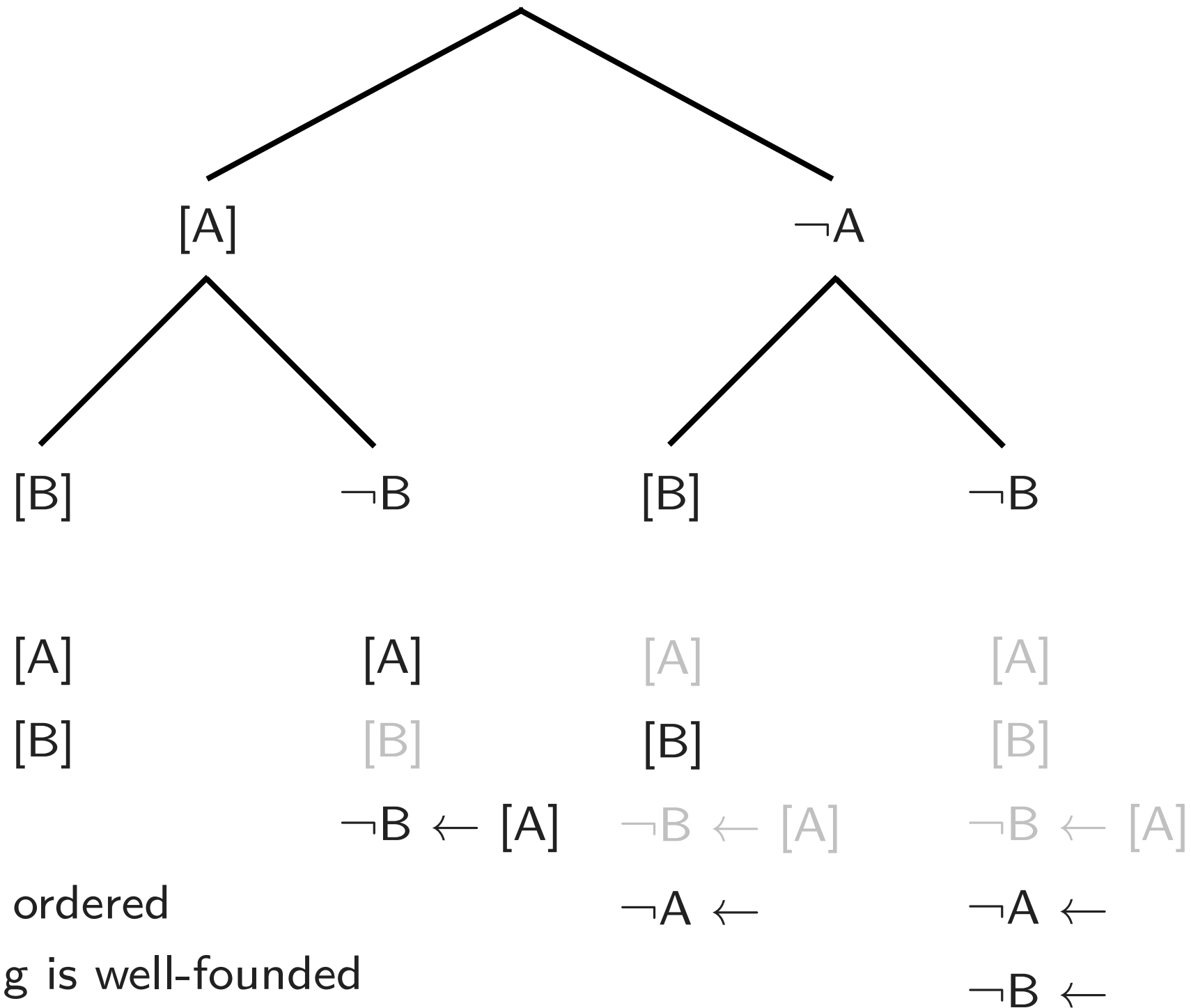
Overriding

Switching interpretations by increasing clause sets



Overriding

Switching interpretations by increasing clause sets



Redundant Decision Literals and Clauses

Locally redundant \approx redundancy dependent on decision literal context

Global redundant \approx redundancy independent of decision literal context

Let $A > B > C > D > E \Rightarrow (9) > (8) > \dots > (1)$

[E] (1)

$\neg D \leftarrow [E]$ (2)

[D] (3)

[C] (4)

[B] (5)

$A \leftarrow [D]$ (6)

$A \leftarrow [D], [E]$ (7)

$A \leftarrow [C], [E]$ (8)

$A \leftarrow [B]$ (9)

Redundant Decision Literals and Clauses

Locally redundant \approx redundancy dependent on decision literal context

Global redundant \approx redundancy independent of decision literal context

Let $A > B > C > D > E \Rightarrow (9) > (8) > \dots > (1)$

[E] (1)

$\neg D \leftarrow [E]$ (2)

[D] (3)

[C] (4)

[B] (5)

$A \leftarrow [D]$ (6)

$A \leftarrow [D], [E]$ (7)

$A \leftarrow [C], [E]$ (8)

$A \leftarrow [B]$ (9)

(3) locally redundant, as overridden by (2)

Redundant Decision Literals and Clauses

Locally redundant \approx redundancy dependent on decision literal context

Global redundant \approx redundancy independent of decision literal context

Let $A > B > C > D > E \Rightarrow (9) > (8) > \dots > (1)$

[E] (1)

$\neg D \leftarrow [E]$ (2)

[D] (3)

[C] (4)

[B] (5)

$A \leftarrow [D]$ (6)

$A \leftarrow [D], [E]$ (7)

$A \leftarrow [C], [E]$ (8)

$A \leftarrow [B]$ (9)

(3) locally redundant, as overridden by (2)

(6) locally redundant, as [D] locally redundant

Redundant Decision Literals and Clauses

Locally redundant \approx redundancy dependent on decision literal context

Global redundant \approx redundancy independent of decision literal context

Let $A > B > C > D > E \Rightarrow (9) > (8) > \dots > (1)$

[E] (1)

$\neg D \leftarrow [E]$ (2)

[D] (3)

[C] (4)

[B] (5)

$A \leftarrow [D]$ (6)

$A \leftarrow [D], [E]$ (7)

$A \leftarrow [C], [E]$ (8)

$A \leftarrow [B]$ (9)

(3) locally redundant, as overridden by (2)

(6) locally redundant, as [D] locally redundant

(7) globally redundant, as subsumed by (6)

Redundant Decision Literals and Clauses

Locally redundant \approx redundancy dependent on decision literal context

Global redundant \approx redundancy independent of decision literal context

Let $A > B > C > D > E \Rightarrow (9) > (8) > \dots > (1)$

[E] (1)

$\neg D \leftarrow [E]$ (2)

[D] (3)

[C] (4)

[B] (5)

$A \leftarrow [D]$ (6)

$A \leftarrow [D], [E]$ (7)

$A \leftarrow [C], [E]$ (8)

$A \leftarrow [B]$ (9)

(3) locally redundant, as overridden by (2)

(6) locally redundant, as [D] locally redundant

(7) globally redundant, as subsumed by (6)

(9) locally redundant by (8)

Redundant Decision Literals and Clauses

Locally redundant \approx redundancy dependent on decision literal context

Global redundant \approx redundancy independent of decision literal context

Let $A > B > C > D > E \Rightarrow (9) > (8) > \dots > (1)$

[E] (1)

$\neg D \leftarrow [E]$ (2)

[D] (3)

[C] (4)

[B] (5)

$A \leftarrow [D]$ (6)

$A \leftarrow [D], [E]$ (7)

$A \leftarrow [C], [E]$ (8)

$A \leftarrow [B]$ (9)

(3) locally redundant, as overridden by (2)

(6) locally redundant, as [D] locally redundant

(7) globally redundant, as subsumed by (6)

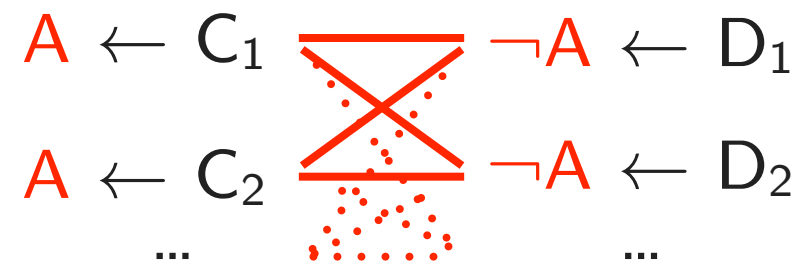
(9) locally redundant by (8)

\Rightarrow at any time ≤ 1 locally non-redundant unit clauses with same head (here A)

Redundant Inferences

Inferences from locally redundant clauses can be deferred

⇒ avoids clause recombination problem, e.g., for Backjump:

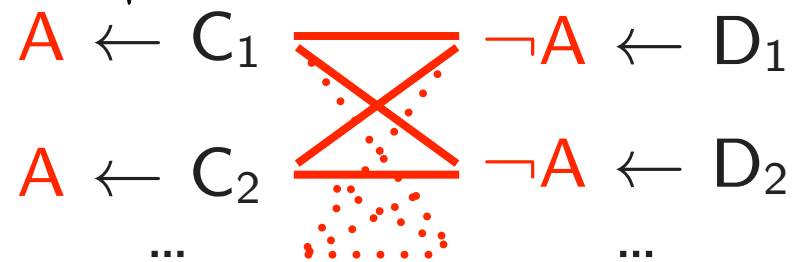


Redundant Inferences

Inferences from locally redundant clauses can be deferred

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≤ 1 locally non-redundant clauses $A \leftarrow C_i$



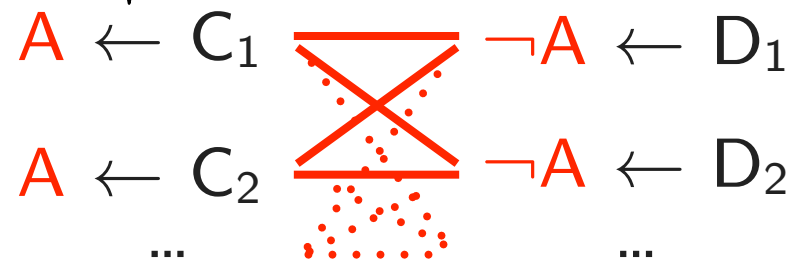
Redundant Inferences

Inferences from locally redundant clauses can be deferred

⇒ avoids clause recombination problem, e.g., for Backjump:

≤ 1 locally non-redundant clauses $A \leftarrow C_i$

≤ 1 locally non-redundant clauses $\neg A \leftarrow D_i$



Model Construction I(M ■ N)

Let $M \blacksquare N$ be a state

Let $e \in M \cup N$ be the next expression considered

Suppose set of literals J_e has been defined for all f with $e > f$

Extend J_e as follows

Case	$e = A \leftarrow D$	$e = \neg A \leftarrow D$	$e = [A]$
Result	$J_e \cup \{A\}$ if (1) $D \subseteq M_N$ (2) $A \notin J_e$	$J_e \cup \{\neg A\}$ if (1) $D \subseteq M_N$ (2) $\neg A \notin J_e$ (3) $A \notin J_e$	$J_e \cup \{A\}$ if (1) $A \notin J_e$ (2) $\neg A \notin J_e$

where $M_N = \{ [A] \in M \mid [A] \text{ is not locally redundant wrt. } M \blacksquare N \}$

Define $I(M \blacksquare N)$ as the interpretation obtained from the final set J

Completeness

Inference is **locally redundant** \approx some premise or conclusion is locally redundant

State $M \blacksquare N$ is **saturated** iff every inference from $M \blacksquare N$ is locally redundant

Satisfaction relation

$$(M, I) \models C \leftarrow D \quad \text{iff} \quad D \not\subseteq M \text{ or } I \models C$$

Theorem (static completeness)

Let $M \blacksquare N$ be a saturated state such that

for all $C \leftarrow D \in N$, $|C| = 1$ or $D = \{\}$ (i.e., unit or ordinary clauses only).

If $\square \leftarrow \notin N$ then $(M_N, I(M \blacksquare N)) \models N$.

Dynamic completeness result with simplification (straightforward?)

First-Order Logic

Lifting

Local redundancy: semantics is straightforward, via ground instances

$[Q(x)]$	$Q(a), Q(b), \dots$
$[P(x)]$	$P(b), \dots$
$\neg P(a) \leftarrow [Q(a)]$	

Inference rules: straightforward

$P(x) \vee Q(x) \leftarrow$	(1)
$\neg Q(a) \leftarrow$	(2)
$P(a) \leftarrow$	(3 by 1,2)
$[Q(x)] \leftarrow$	(Decide)

Conclusion: Issues

Differences to CDCL

Backjumping

Does not remove clauses, only makes them locally redundant

Use of ordering

In general need Decide even in Horn case

Fix: construct/modify ordering on-the-fly (compatible with *local* redundancy)

Local redundancy

How to compute l.r. effectively/efficiently?

Watch 1 clause per decision literal [A] that overrides [A], if any

However, for first-order logic:

l.r. is optional - graceful degradation with decreasing precision

l.r. acts as interface to model representation:

can use whatever suits best, e.g., contexts, DIGs, constraint literals,...